

# THE UNITED STATES OF AMERICA

**TO ALL TO WHOM THESE PRESENTS SHALL COME:**

**UNITED STATES DEPARTMENT OF COMMERCE  
United States Patent and Trademark Office**

July 26, 2004

**THIS IS TO CERTIFY THAT ANNEXED HERETO IS A TRUE COPY FROM  
THE RECORDS OF THE UNITED STATES PATENT AND TRADEMARK  
OFFICE OF THOSE PAPERS OF THE BELOW IDENTIFIED PATENT  
APPLICATION THAT MET THE REQUIREMENTS TO BE GRANTED A  
FILING DATE.**

**APPLICATION NUMBER: 10/418,780**

**FILING DATE: April 16, 2003**

**RELATED PCT APPLICATION NUMBER: PCT/US04/10946**

REC'D 30 JUL 2004

WIPO

PCT

**By Authority of the  
COMMISSIONER OF PATENTS AND TRADEMARKS**



*T. Wallace*  
**T. WALLACE**  
Certifying Officer

**PRIORITY DOCUMENT**  
SUBMITTED OR TRANSMITTED IN  
COMPLIANCE WITH  
RULE 17.1(a) OR (b)

DOCKET NO.: BIOL0004US

04-21-03  
"Express Mail" Label No.: EV280449207US  
Date of Deposit: 4-16-2003

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Rosanne M. Crooke, Mark J. Graham, Kristina M. Lemonidis and Kenneth W. Dobie

For: Modulation of apolipoprotein C-III Expression

BOX SEQUENCE  
Assistant Commissioner for Patents  
Washington DC 20231

PATENT APPLICATION TRANSMITTAL LETTER

Transmitted herewith for filing, please find the following:

- The specification of the above-referenced patent application;
- An executed Declaration or Oath and Power of Attorney;
- An Assignment of the invention to Isis Pharmaceuticals Inc. with recordation cover sheet (PTO Form PTO-1595) and \$40.00 cover fee;
- An Assignment of the invention to with recordation cover sheet (PTO Form PTO-1595) and \$40.00 cover fee;
- Statement to Support Filing and Submission of DNA/Amino Acid Sequences in Accordance with 37 CFR § 1.821 through 1.825;
- Sequence listing in computer readable form in accordance with 37 C.F.R. § 1.821(e); and
- An Information Disclosure Statement with references.

The filing fee has been calculated as shown below:


For:	No. Filed	No. Extra	Rate	Fee
BASE FEE				\$740.00
Total Claims	52 - 20 =	32	x \$18=	\$576
Indep. Claims	3 - 3 =	0	x \$84=	\$0
			TOTAL	\$ 1316.00

The Commissioner is hereby authorized to charge the following fees to Deposit Account No. 500252:

- the amount of \$1356.00 for the above listed fees;
- payment of the following fees associated with this communication or credit any overpayment;
- any additional filing fees required under 37 CFR 1.16 including fees for presentation of extra claims; and
- any additional patent application processing fees under 37 CFR 1.17 and under 37 CFR 1.20 (d).

Triplicate copies of this transmittal are enclosed.

Date: April 11, 2003

  
Donna T. Ward  
Registration No. 48,271  
Isis Pharmaceuticals, Inc.

Please address all correspondence to:

Mary E. Bak  
Howson and Howson  
Spring House Corporate Center  
P.O. Box 457  
Spring House, Pennsylvania 19477  
Telephone: (215) 540-9200  
Facsimile: (215) 540-5818

DOCKET NO.:BIOL0004US

"Express Mail" Label No.: EV280449207US  
Date of Deposit: 4-16-03

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor(s): Rosanne M. Crooke, Mark J. Graham, Kristina M. Lemonidis  
and Kenneth W. Dobie

Serial No.: not yet assigned

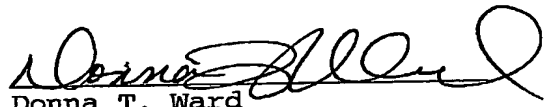
Filing Date: herewith

Title: **Modulation of apolipoprotein C-III Expression**BOX SEQUENCE  
Assistant Commissioner for Patents  
Washington, D.C., 20231**STATEMENT TO SUPPORT FILING AND SUBMISSION IN ACCORDANCE WITH 37  
C.F.R. §§ 1.821 THROUGH 1.825**

I hereby state, in accordance with the requirements of 37 C.F.R. §1.821(f), that the contents of the paper and computer readable copies of the Sequence Listing, submitted in accordance with 37 C.F.R. §1.821(c) and (e), respectively, are the same.

Date: April, 11 2003

Respectfully submitted,

  
Donna T. Ward  
Registration No. 48,271  
Isis Pharmaceuticals, Inc.**Please address all correspondence to:**Mary E. Bak  
Howson and Howson  
Spring House Corporate Center  
P.O. Box 457  
Spring House, Pennsylvania 19477  
Telephone: (215) 540-9200  
Facsimile: (215) 540-5818

BIOL000406

-1-

PATENT

5

## MODULATION OF APOLIPOPROTEIN C-III EXPRESSION

## FIELD OF THE INVENTION

10 The present invention provides compositions and methods  
for modulating the expression of apolipoprotein C-III. In  
particular, this invention relates to compounds, particularly  
oligonucleotide compounds, which, in preferred embodiments,  
hybridize with nucleic acid molecules encoding apolipoprotein  
15 C-III. Such compounds are shown herein to modulate the  
expression of apolipoprotein C-III.

## BACKGROUND OF THE INVENTION

Lipoproteins are globular, micelle-like particles that  
20 consist of a non-polar core of acylglycerols and cholesteryl  
esters surrounded by an amphiphilic coating of protein,  
phospholipid and cholesterol. Lipoproteins have been  
classified into five broad categories on the basis of their  
functional and physical properties: chylomicrons, which  
25 transport dietary lipids from intestine to tissues; very low  
density lipoproteins (VLDL); intermediate density  
lipoproteins (IDL); low density lipoproteins (LDL); all of  
which transport triacylglycerols and cholesterol from the  
liver to tissues; and high density lipoproteins (HDL), which  
30 transport endogenous cholesterol from tissues to the liver.

Lipoprotein particles undergo continuous metabolic  
processing and have variable properties and compositions.  
Lipoprotein densities increase without decreasing particle

BIOL0004US

-2-

PATENT

diameter because the density of their outer coatings is less than that of the inner core. The protein components of lipoproteins are known as apolipoproteins. At least nine apolipoproteins are distributed in significant amounts among the various human lipoproteins.

Apolipoprotein C-III is a constituent of HDL and of triglyceride-rich lipoproteins and has a role in hypertriglyceridemia, a risk factor for coronary artery disease. Apolipoprotein C-III slows this clearance of triglyceride-rich lipoproteins by inhibiting lipolysis, both through inhibition of lipoprotein lipase and by interfering with lipoprotein binding to the cell-surface glycosaminoglycan matrix (Shachter, *Curr. Opin. Lipidol.*, 2001, 12, 297-304).

The gene encoding human apolipoprotein C-III (also called APOC3, APOC-III, APO CIII, and APO C-III) was cloned in 1984 by three research groups (Levy-Wilson et al., *DNA*, 1984, 3, 359-364; Protter et al., *DNA*, 1984, 3, 449-456; Sharpe et al., *Nucleic Acids Res*, 1984, 12, 3917-3932) and the coding sequence is interrupted by three introns (Protter et al., *DNA*, 1984, 3, 449-456). The human apolipoprotein C-III gene is located approximately 2.6kB to the 3' direction of the apolipoprotein A-1 gene and these two genes are convergently transcribed (Karathanasis, *Proc. Natl. Acad. Sci. U. S. A.*, 1985, 82, 6374-6378). Also cloned was a variant of human apolipoprotein C-III with a Thr74 to Ala 74 mutation from a patient with unusually high level of serum apolipoprotein C-III. As the Thr74 is O-glycosylated, the Ala 74 mutant therefore resulted in increased levels of serum apolipoprotein C-III lacking the carbohydrate moiety (Maeda et al., *J. Lipid Res.*, 1987, 28, 1405-1409).

Five polymorphisms have been identified in the promoter region of the gene (C(-641) to A, G(-630) to A, T(-625) to

BIOL0004US

-3-

PATENT

deletion, C(-482) to T and T(-455) to C), all of which are in linkage disequilibrium with the *Sst*I polymorphism in the 3' untranslated region. The *Sst*I site distinguishes the S1 and S2 alleles and the S2 allele has been associated with elevated plasma triglyceride levels (Dammerman et al., *Proc. Natl. Acad. Sci. U. S. A.*, 1993, 90, 4562-4566). The apolipoprotein C-III promoter is downregulated by insulin and this polymorphic site abolishes the insulin regulation. Thus the potential overexpression of apolipoprotein C-III resulting from the loss of insulin regulation may be a contributing factor to the development of hypertriglyceridemia associated with the S2 allele (Li et al., *J. Clin. Invest.*, 1995, 96, 2601-2605). The T(-455) to C polymorphism has been associated with an increased risk of coronary artery disease (Olivieri et al., *J. Lipid Res.*, 2002, 43, 1450-1457).

In addition to insulin, other regulators of apolipoprotein C-III gene expression have been identified. A response element for the nuclear orphan receptor rev-erb alpha has been located at positions -23/-18 in the apolipoprotein C-III promoter region and rev-erb alpha decreases apolipoprotein C-III promoter activity (Raspe et al., *J. Lipid Res.*, 2002, 43, 2172-2179). The apolipoprotein C-III promoter region -86 to -74 is recognized by two nuclear factors CIIIb1 and CIIIb2 (Ogami et al., *J. Biol. Chem.*, 1991, 266, 9640-9646). Apolipoprotein C-III expression is also upregulated by retinoids acting via the retinoid X receptor, and alterations in retinoid X receptor abundance effects apolipoprotein C-III transcription (Vu-Dac et al., *J. Clin. Invest.*, 1998, 102, 625-632). Specificity protein 1 (Sp1) and hepatocyte nuclear factor-4 (HNF-4) have been shown to work synergistically to transactivate the apolipoprotein

BIOL0004US

-4-

PATENT

C-III promoter via the HNF-4 binding site (Kardassis et al., *Biochemistry*, 2002, 41, 1217-1228). HNF-4 also works in conjunction with SMAD3-SMAD4 to transactivate the apolipoprotein C-III promoter (Kardassis et al., *J. Biol. Chem.*, 2000, 275, 41405-41414).

Transgenic and knockout mice have further defined the role of apolipoprotein C-III in lipolysis. Overexpression of apolipoprotein C-III in transgenic mice leads to hypertriglyceridemia and impaired clearance of VLDL-triglycerides (de Silva et al., *J. Biol. Chem.*, 1994, 269, 2324-2335; Ito et al., *Science*, 1990, 249, 790-793). Knockout mice with a total absence of the apolipoprotein C-III protein exhibited significantly reduced plasma cholesterol and triglyceride levels compared with wild-type mice and were protected from postprandial hypertriglyceridemia (Maeda et al., *J. Biol. Chem.*, 1994, 269, 23610-23616).

Currently, there are no known therapeutic agents which which affect the function of apolipoprotein C-III. The hypolipidemic effect of the fibrate class of drugs has been postulated to occur via a mechanism where peroxisome proliferator activated receptor (PPAR) mediates the displacement of HNF-4 from the apolipoprotein C-III promoter resulting in transcriptional suppression of apolipoprotein C-III (Hertz et al., *J. Biol. Chem.*, 1995, 270, 13470-13475). The statin class of hypolipidemic drugs also lower triglyceride levels via an unknown mechanism which results in increases in lipoprotein lipase mRNA and a decrease in plasma levels of apolipoprotein C-III (Schoonjans et al., *FEBS Lett.*, 1999, 452, 160-164). Consequently, there remains a long felt need for additional agents capable of effectively inhibiting apolipoprotein C-III function.

BIOL0004US

-5-

PATENT

Antisense technology is emerging as an effective means for reducing the expression of specific gene products and may therefore prove to be uniquely useful in a number of therapeutic, diagnostic, and research applications for the modulation of apolipoprotein C-III expression.

The present invention provides compositions and methods for modulating apolipoprotein C-III expression.

#### 10 SUMMARY OF THE INVENTION

The present invention is directed to compounds, especially nucleic acid and nucleic acid-like oligomers, which are targeted to a nucleic acid encoding apolipoprotein C-III, and which modulate the expression of apolipoprotein C-III. Pharmaceutical and other compositions comprising the compounds of the invention are also provided. Further provided are methods of screening for modulators of apolipoprotein C-III and methods of modulating the expression of apolipoprotein C-III in cells, tissues or animals comprising contacting said cells, tissues or animals with one or more of the compounds or compositions of the invention. Methods of treating an animal, particularly a human, suspected of having or being prone to a disease or condition associated with expression of apolipoprotein C-III are also set forth herein. Such methods comprise administering a therapeutically or prophylactically effective amount of one or more of the compounds or compositions of the invention to the person in need of treatment.

#### 30 DETAILED DESCRIPTION OF THE INVENTION



BIOL0004US

-6-

PATENT

**A. Overview of the Invention**

The present invention employs compounds, preferably oligonucleotides and similar species for use in modulating the function or effect of nucleic acid molecules encoding apolipoprotein C-III. This is accomplished by providing oligonucleotides which specifically hybridize with one or more nucleic acid molecules encoding apolipoprotein C-III. As used herein, the terms "target nucleic acid" and "nucleic acid molecule encoding apolipoprotein C-III" have been used for convenience to encompass DNA encoding apolipoprotein C-III, RNA (including pre-mRNA and mRNA or portions thereof) transcribed from such DNA, and also cDNA derived from such RNA. The hybridization of a compound of this invention with its target nucleic acid is generally referred to as "antisense". Consequently, the preferred mechanism believed to be included in the practice of some preferred embodiments of the invention is referred to herein as "antisense inhibition." Such antisense inhibition is typically based upon hydrogen bonding-based hybridization of oligonucleotide strands or segments such that at least one strand or segment is cleaved, degraded, or otherwise rendered inoperable. In this regard, it is presently preferred to target specific nucleic acid molecules and their functions for such antisense inhibition.

The functions of DNA to be interfered with can include replication and transcription. Replication and transcription, for example, can be from an endogenous cellular template, a vector, a plasmid construct or otherwise. The functions of RNA to be interfered with can include functions such as translocation of the RNA to a site of protein translation, translocation of the RNA to sites within the cell which are distant from the site of RNA

BIOL0004US

-7-

PATENT

synthesis, translation of protein from the RNA, splicing of the RNA to yield one or more RNA species, and catalytic activity or complex formation involving the RNA which may be engaged in or facilitated by the RNA. One preferred result of such interference with target nucleic acid function is modulation of the expression of apolipoprotein C-III. In the context of the present invention, "modulation" and "modulation of expression" mean either an increase (stimulation) or a decrease (inhibition) in the amount or levels of a nucleic acid molecule encoding the gene, e.g., DNA or RNA. Inhibition is often the preferred form of modulation of expression and mRNA is often a preferred target nucleic acid.

In the context of this invention, "hybridization" means the pairing of complementary strands of oligomeric compounds. In the present invention, the preferred mechanism of pairing involves hydrogen bonding, which may be Watson-Crick, Hoogsteen or reversed Hoogsteen hydrogen bonding, between complementary nucleoside or nucleotide bases (nucleobases) of the strands of oligomeric compounds. For example, adenine and thymine are complementary nucleobases which pair through the formation of hydrogen bonds. Hybridization can occur under varying circumstances.

An antisense compound is specifically hybridizable when binding of the compound to the target nucleic acid interferes with the normal function of the target nucleic acid to cause a loss of activity, and there is a sufficient degree of complementarity to avoid non-specific binding of the antisense compound to non-target nucleic acid sequences under conditions in which specific binding is desired, i.e., under physiological conditions in the case of *in vivo* assays or

BIOL0004US

-8-

PATENT

therapeutic treatment, and under conditions in which assays are performed in the case of *in vitro* assays.

In the present invention the phrase "stringent hybridization conditions" or "stringent conditions" refers to conditions under which a compound of the invention will hybridize to its target sequence, but to a minimal number of other sequences. Stringent conditions are sequence-dependent and will be different in different circumstances and in the context of this invention, "stringent conditions" under which oligomeric compounds hybridize to a target sequence are determined by the nature and composition of the oligomeric compounds and the assays in which they are being investigated.

"Complementary," as used herein, refers to the capacity for precise pairing between two nucleobases of an oligomeric compound. For example, if a nucleobase at a certain position of an oligonucleotide (an oligomeric compound), is capable of hydrogen bonding with a nucleobase at a certain position of a target nucleic acid, said target nucleic acid being a DNA, RNA, or oligonucleotide molecule, then the position of hydrogen bonding between the oligonucleotide and the target nucleic acid is considered to be a complementary position. The oligonucleotide and the further DNA, RNA, or oligonucleotide molecule are complementary to each other when a sufficient number of complementary positions in each molecule are occupied by nucleobases which can hydrogen bond with each other. Thus, "specifically hybridizable" and "complementary" are terms which are used to indicate a sufficient degree of precise pairing or complementarity over a sufficient number of nucleobases such that stable and specific binding occurs between the oligonucleotide and a target nucleic acid.

BIOL0004US

-9-

PATENT

It is understood in the art that the sequence of an antisense compound need not be 100% complementary to that of its target nucleic acid to be specifically hybridizable. Moreover, an oligonucleotide may hybridize over one or more segments such that intervening or adjacent segments are not involved in the hybridization event (e.g., a loop structure or hairpin structure). It is preferred that the antisense compounds of the present invention comprise at least 70% sequence complementarity to a target region within the target nucleic acid, more preferably that they comprise 90% sequence complementarity and even more preferably comprise 95% sequence complementarity to the target region within the target nucleic acid sequence to which they are targeted. For example, an antisense compound in which 18 of 20 nucleobases of the antisense compound are complementary to a target region, and would therefore specifically hybridize, would represent 90 percent complementarity. In this example, the remaining noncomplementary nucleobases may be clustered or interspersed with complementary nucleobases and need not be contiguous to each other or to complementary nucleobases. As such, an antisense compound which is 18 nucleobases in length having 4 (four) noncomplementary nucleobases which are flanked by two regions of complete complementarity with the target nucleic acid would have 77.8% overall complementarity with the target nucleic acid and would thus fall within the scope of the present invention. Percent complementarity of an antisense compound with a region of a target nucleic acid can be determined routinely using BLAST programs (basic local alignment search tools) and PowerBLAST programs known in the art (Altschul et al., *J. Mol. Biol.*, 1990, 215, 403-410; Zhang and Madden, *Genome Res.*, 1997, 7, 649-656).

BIOL0004US

-10-

PATENT

**B. Compounds of the Invention**

According to the present invention, compounds include antisense oligomeric compounds, antisense oligonucleotides, ribozymes, external guide sequence (EGS) oligonucleotides, alternate splicers, primers, probes, and other oligomeric compounds which hybridize to at least a portion of the target nucleic acid. As such, these compounds may be introduced in the form of single-stranded, double-stranded, circular or hairpin oligomeric compounds and may contain structural elements such as internal or terminal bulges or loops. Once introduced to a system, the compounds of the invention may elicit the action of one or more enzymes or structural proteins to effect modification of the target nucleic acid. One non-limiting example of such an enzyme is RNase H, a cellular endonuclease which cleaves the RNA strand of an RNA:DNA duplex. It is known in the art that single-stranded antisense compounds which are "DNA-like" elicit RNase H. Activation of RNase H, therefore, results in cleavage of the RNA target, thereby greatly enhancing the efficiency of oligonucleotide-mediated inhibition of gene expression. Similar roles have been postulated for other ribonucleases such as those in the RNase III and ribonuclease L family of enzymes.

While the preferred form of antisense compound is a single-stranded antisense oligonucleotide, in many species the introduction of double-stranded structures, such as double-stranded RNA (dsRNA) molecules, has been shown to induce potent and specific antisense-mediated reduction of the function of a gene or its associated gene products. This phenomenon occurs in both plants and animals and is believed to have an evolutionary connection to viral defense and transposon silencing.

BIOL0004US

-11-

PATENT

The first evidence that dsRNA could lead to gene silencing in animals came in 1995 from work in the nematode, *Caenorhabditis elegans* (Guo and Kempheus, *Cell*, 1995, 81, 611-620). Montgomery et al. have shown that the primary  
5 interference effects of dsRNA are posttranscriptional (Montgomery et al., *Proc. Natl. Acad. Sci. USA*, 1998, 95, 15502-15507). The posttranscriptional antisense mechanism defined in *Caenorhabditis elegans* resulting from exposure to double-stranded RNA (dsRNA) has since been designated RNA  
10 interference (RNAi). This term has been generalized to mean antisense-mediated gene silencing involving the introduction of dsRNA leading to the sequence-specific reduction of endogenous targeted mRNA levels (Fire et al., *Nature*, 1998, 391, 806-811). Recently, it has been shown that it is, in  
15 fact, the single-stranded RNA oligomers of antisense polarity of the dsRNAs which are the potent inducers of RNAi (Tijsterman et al., *Science*, 2002, 295, 694-697).

In the context of this invention, the term "oligomeric compound" refers to a polymer or oligomer comprising a  
20 plurality of monomeric units. In the context of this invention, the term "oligonucleotide" refers to an oligomer or polymer of ribonucleic acid (RNA) or deoxyribonucleic acid (DNA) or mimetics, chimeras, analogs and homologs thereof. This term includes oligonucleotides composed of naturally  
25 occurring nucleobases, sugars and covalent internucleoside (backbone) linkages as well as oligonucleotides having non-naturally occurring portions which function similarly. Such modified or substituted oligonucleotides are often preferred over native forms because of desirable properties such as,  
30 for example, enhanced cellular uptake, enhanced affinity for a target nucleic acid and increased stability in the presence of nucleases.

BIOL0004US

-12-

PATENT

While oligonucleotides are a preferred form of the compounds of this invention, the present invention comprehends other families of compounds as well, including but not limited to oligonucleotide analogs and mimetics such as those described herein.

The compounds in accordance with this invention preferably comprise from about 8 to about 80 nucleobases (i.e. from about 8 to about 80 linked nucleosides). One of ordinary skill in the art will appreciate that the invention embodies compounds of 8, 9, 10, 11, 12, 13, 14, 15, 16, 17, 18, 19, 20, 21, 22, 23, 24, 25, 26, 27, 28, 29, 30, 31, 32, 33, 34, 35, 36, 37, 38, 39, 40, 41, 42, 43, 44, 45, 46, 47, 48, 49, 50, 51, 52, 53, 54, 55, 56, 57, 58, 59, 60, 61, 62, 63, 64, 65, 66, 67, 68, 69, 70, 71, 72, 73, 74, 75, 76, 77, 78, 79, or 80 nucleobases in length.

In one preferred embodiment, the compounds of the invention are 12 to 50 nucleobases in length. One having ordinary skill in the art will appreciate that this embodies compounds of 12, 13, 14, 15, 16, 17, 18, 19, 20, 21, 22, 23, 24, 25, 26, 27, 28, 29, 30, 31, 32, 33, 34, 35, 36, 37, 38, 39, 40, 41, 42, 43, 44, 45, 46, 47, 48, 49, or 50 nucleobases in length.

In another preferred embodiment, the compounds of the invention are 15 to 30 nucleobases in length. One having ordinary skill in the art will appreciate that this embodies compounds of 15, 16, 17, 18, 19, 20, 21, 22, 23, 24, 25, 26, 27, 28, 29, or 30 nucleobases in length.

Particularly preferred compounds are oligonucleotides from about 12 to about 50 nucleobases, even more preferably those comprising from about 15 to about 30 nucleobases.

Antisense compounds 8-80 nucleobases in length comprising a stretch of at least eight (8) consecutive

BIOL0004US

-13-

PATENT

nucleobases selected from within the illustrative antisense compounds are considered to be suitable antisense compounds as well.

Exemplary preferred antisense compounds include

5 oligonucleotide sequences that comprise at least the 8 consecutive nucleobases from the 5'-terminus of one of the illustrative preferred antisense compounds (the remaining nucleobases being a consecutive stretch of the same oligonucleotide beginning immediately upstream of the 5'-

10 terminus of the antisense compound which is specifically hybridizable to the target nucleic acid and continuing until the oligonucleotide contains about 8 to about 80 nucleobases). Similarly preferred antisense compounds are represented by oligonucleotide sequences that comprise at

15 least the 8 consecutive nucleobases from the 3'-terminus of one of the illustrative preferred antisense compounds (the remaining nucleobases being a consecutive stretch of the same oligonucleotide beginning immediately downstream of the 3'-terminus of the antisense compound which is specifically

20 hybridizable to the target nucleic acid and continuing until the oligonucleotide contains about 8 to about 80 nucleobases). One having skill in the art armed with the preferred antisense compounds illustrated herein will be able, without undue experimentation, to identify further

25 preferred antisense compounds.

### C. Targets of the Invention

"Targeting" an antisense compound to a particular nucleic acid molecule, in the context of this invention, can

30 be a multistep process. The process usually begins with the identification of a target nucleic acid whose function is to be modulated. This target nucleic acid may be, for example,



BIOL0004US

-14-

PATENT

a cellular gene (or mRNA transcribed from the gene) whose expression is associated with a particular disorder or disease state, or a nucleic acid molecule from an infectious agent. In the present invention, the target nucleic acid  
5 encodes apolipoprotein C-III.

The targeting process usually also includes determination of at least one target region, segment, or site within the target nucleic acid for the antisense interaction to occur such that the desired effect, e.g., modulation of  
10 expression, will result. Within the context of the present invention, the term "region" is defined as a portion of the target nucleic acid having at least one identifiable structure, function, or characteristic. Within regions of target nucleic acids are segments. "Segments" are defined as  
15 smaller or sub-portions of regions within a target nucleic acid. "Sites," as used in the present invention, are defined as positions within a target nucleic acid.

Since, as is known in the art, the translation initiation codon is typically 5'-AUG (in transcribed mRNA molecules; 5'-ATG in the corresponding DNA molecule), the  
20 translation initiation codon is also referred to as the "AUG codon," the "start codon" or the "AUG start codon". A minority of genes have a translation initiation codon having the RNA sequence 5'-GUG, 5'-UUG or 5'-CUG, and 5'-AUA, 5'-ACG  
25 and 5'-CUG have been shown to function *in vivo*. Thus, the terms "translation initiation codon" and "start codon" can encompass many codon sequences, even though the initiator amino acid in each instance is typically methionine (in eukaryotes) or formylmethionine (in prokaryotes). It is also  
30 known in the art that eukaryotic and prokaryotic genes may have two or more alternative start codons, any one of which may be preferentially utilized for translation initiation in

BIOL0004US

-15-

PATENT

a particular cell type or tissue, or under a particular set of conditions. In the context of the invention, "start codon" and "translation initiation codon" refer to the codon or codons that are used *in vivo* to initiate translation of an mRNA transcribed from a gene encoding apolipoprotein C-III, regardless of the sequence(s) of such codons. It is also known in the art that a translation termination codon (or "stop codon") of a gene may have one of three sequences, i.e., 5'-UAA, 5'-UAG and 5'-UGA (the corresponding DNA sequences are 5'-TAA, 5'-TAG and 5'-TGA, respectively).

The terms "start codon region" and "translation initiation codon region" refer to a portion of such an mRNA or gene that encompasses from about 25 to about 50 contiguous nucleotides in either direction (i.e., 5' or 3') from a translation initiation codon. Similarly, the terms "stop codon region" and "translation termination codon region" refer to a portion of such an mRNA or gene that encompasses from about 25 to about 50 contiguous nucleotides in either direction (i.e., 5' or 3') from a translation termination codon. Consequently, the "start codon region" (or "translation initiation codon region") and the "stop codon region" (or "translation termination codon region") are all regions which may be targeted effectively with the antisense compounds of the present invention.

The open reading frame (ORF) or "coding region," which is known in the art to refer to the region between the translation initiation codon and the translation termination codon, is also a region which may be targeted effectively. Within the context of the present invention, a preferred region is the intragenic region encompassing the translation initiation or termination codon of the open reading frame (ORF) of a gene.

BIOL0004US

-16-

PATENT

Other target regions include the 5' untranslated region (5'UTR), known in the art to refer to the portion of an mRNA in the 5' direction from the translation initiation codon, and thus including nucleotides between the 5' cap site and the translation initiation codon of an mRNA (or corresponding nucleotides on the gene), and the 3' untranslated region (3'UTR), known in the art to refer to the portion of an mRNA in the 3' direction from the translation termination codon, and thus including nucleotides between the translation termination codon and 3' end of an mRNA (or corresponding nucleotides on the gene). The 5' cap site of an mRNA comprises an N7-methylated guanosine residue joined to the 5'-most residue of the mRNA via a 5'-5' triphosphate linkage. The 5' cap region of an mRNA is considered to include the 5' cap structure itself as well as the first 50 nucleotides adjacent to the cap site. It is also preferred to target the 5' cap region.

Although some eukaryotic mRNA transcripts are directly translated, many contain one or more regions, known as "introns," which are excised from a transcript before it is translated. The remaining (and therefore translated) regions are known as "exons" and are spliced together to form a continuous mRNA sequence. Targeting splice sites, i.e., intron-exon junctions or exon-intron junctions, may also be particularly useful in situations where aberrant splicing is implicated in disease, or where an overproduction of a particular splice product is implicated in disease. Aberrant fusion junctions due to rearrangements or deletions are also preferred target sites. mRNA transcripts produced via the process of splicing of two (or more) mRNAs from different gene sources are known as "fusion transcripts". It is also known that introns can be effectively targeted using

BIOL0004US

-17-

PATENT

antisense compounds targeted to, for example, DNA or pre-mRNA.

It is also known in the art that alternative RNA transcripts can be produced from the same genomic region of DNA. These alternative transcripts are generally known as "variants". More specifically, "pre-mRNA variants" are transcripts produced from the same genomic DNA that differ from other transcripts produced from the same genomic DNA in either their start or stop position and contain both intronic and exonic sequence.

Upon excision of one or more exon or intron regions, or portions thereof during splicing, pre-mRNA variants produce smaller "mRNA variants". Consequently, mRNA variants are processed pre-mRNA variants and each unique pre-mRNA variant must always produce a unique mRNA variant as a result of splicing. These mRNA variants are also known as "alternative splice variants". If no splicing of the pre-mRNA variant occurs then the pre-mRNA variant is identical to the mRNA variant.

It is also known in the art that variants can be produced through the use of alternative signals to start or stop transcription and that pre-mRNAs and mRNAs can possess more than one start codon or stop codon. Variants that originate from a pre-mRNA or mRNA that use alternative start codons are known as "alternative start variants" of that pre-mRNA or mRNA. Those transcripts that use an alternative stop codon are known as "alternative stop variants" of that pre-mRNA or mRNA. One specific type of alternative stop variant is the "polyA variant" in which the multiple transcripts produced result from the alternative selection of one of the "polyA stop signals" by the transcription machinery, thereby producing transcripts that terminate at unique polyA sites.

BIOL0004US

-18-

PATENT

Within the context of the invention, the types of variants described herein are also preferred target nucleic acids.

The locations on the target nucleic acid to which the preferred antisense compounds hybridize are hereinbelow referred to as "preferred target segments." As used herein the term "preferred target segment" is defined as at least an 8-nucleobase portion of a target region to which an active antisense compound is targeted. While not wishing to be bound by theory, it is presently believed that these target segments represent portions of the target nucleic acid which are accessible for hybridization.

While the specific sequences of certain preferred target segments are set forth herein, one of skill in the art will recognize that these serve to illustrate and describe particular embodiments within the scope of the present invention. Additional preferred target segments may be identified by one having ordinary skill.

Target segments 8-80 nucleobases in length comprising a stretch of at least eight (8) consecutive nucleobases selected from within the illustrative preferred target segments are considered to be suitable for targeting as well.

Target segments can include DNA or RNA sequences that comprise at least the 8 consecutive nucleobases from the 5'-terminus of one of the illustrative preferred target segments (the remaining nucleobases being a consecutive stretch of the same DNA or RNA beginning immediately upstream of the 5'-terminus of the target segment and continuing until the DNA or RNA contains about 8 to about 80 nucleobases). Similarly preferred target segments are represented by DNA or RNA sequences that comprise at least the 8 consecutive nucleobases from the 3'-terminus of one of the illustrative preferred target segments (the remaining nucleobases being a

BIOL0004US

-19-

PATENT

consecutive stretch of the same DNA or RNA beginning immediately downstream of the 3'-terminus of the target segment and continuing until the DNA or RNA contains about 8 to about 80 nucleobases). One having skill in the art armed  
5 with the preferred target segments illustrated herein will be able, without undue experimentation, to identify further preferred target segments.

Once one or more target regions, segments or sites have been identified, antisense compounds are chosen which are  
10 sufficiently complementary to the target, i.e., hybridize sufficiently well and with sufficient specificity, to give the desired effect.

#### D. Screening and Target Validation

15 In a further embodiment, the "preferred target segments" identified herein may be employed in a screen for additional compounds that modulate the expression of apolipoprotein C-III. "Modulators" are those compounds that decrease or increase the expression of a nucleic acid  
20 molecule encoding apolipoprotein C-III and which comprise at least an 8-nucleobase portion which is complementary to a preferred target segment. The screening method comprises the steps of contacting a preferred target segment of a nucleic acid molecule encoding apolipoprotein C-III with one or more  
25 candidate modulators, and selecting for one or more candidate modulators which decrease or increase the expression of a nucleic acid molecule encoding apolipoprotein C-III. Once it is shown that the candidate modulator or modulators are capable of modulating (e.g. either decreasing or increasing)  
30 the expression of a nucleic acid molecule encoding apolipoprotein C-III, the modulator may then be employed in further investigative studies of the function of

BIOL0004US

-20-

PATENT

apolipoprotein C-III, or for use as a research, diagnostic, or therapeutic agent in accordance with the present invention.

5 The preferred target segments of the present invention may be also be combined with their respective complementary antisense compounds of the present invention to form stabilized double-stranded (duplexed) oligonucleotides.

Such double stranded oligonucleotide moieties have been shown in the art to modulate target expression and regulate translation as well as RNA processing via an antisense mechanism. Moreover, the double-stranded moieties may be subject to chemical modifications (Fire et al., *Nature*, 1998, 391, 806-811; Timmons and Fire, *Nature* 1998, 395, 854; Timmons et al., *Gene*, 2001, 263, 103-112; Tabara et al., 10 *Science*, 1998, 282, 430-431; Montgomery et al., *Proc. Natl. Acad. Sci. USA*, 1998, 95, 15502-15507; Tuschl et al., *Genes Dev.*, 1999, 13, 3191-3197; Elbashir et al., *Nature*, 2001, 411, 494-498; Elbashir et al., *Genes Dev.* 2001, 15, 188-200). 15 For example, such double-stranded moieties have been shown to inhibit the target by the classical hybridization of antisense strand of the duplex to the target, thereby triggering enzymatic degradation of the target (Tijsterman et al., *Science*, 2002, 295, 694-697). 20

The compounds of the present invention can also be applied in the areas of drug discovery and target validation. 25 The present invention comprehends the use of the compounds and preferred target segments identified herein in drug discovery efforts to elucidate relationships that exist between apolipoprotein C-III and a disease state, phenotype, or condition. These methods include detecting or modulating 30 apolipoprotein C-III comprising contacting a sample, tissue, cell, or organism with the compounds of the present

BIOL0004US

-21-

PATENT

invention, measuring the nucleic acid or protein level of apolipoprotein C-III and/or a related phenotypic or chemical endpoint at some time after treatment, and optionally comparing the measured value to a non-treated sample or  
5 sample treated with a further compound of the invention. These methods can also be performed in parallel or in combination with other experiments to determine the function of unknown genes for the process of target validation or to determine the validity of a particular gene product as a  
10 target for treatment or prevention of a particular disease, condition, or phenotype.

**E. Kits, Research Reagents, Diagnostics, and Therapeutics**

The compounds of the present invention can be utilized  
15 for diagnostics, therapeutics, prophylaxis and as research reagents and kits. Furthermore, antisense oligonucleotides, which are able to inhibit gene expression with exquisite specificity, are often used by those of ordinary skill to elucidate the function of particular genes or to distinguish  
20 between functions of various members of a biological pathway.

For use in kits and diagnostics, the compounds of the present invention, either alone or in combination with other compounds or therapeutics, can be used as tools in differential and/or combinatorial analyses to elucidate  
25 expression patterns of a portion or the entire complement of genes expressed within cells and tissues.

As one nonlimiting example, expression patterns within cells or tissues treated with one or more antisense compounds are compared to control cells or tissues not treated with  
30 antisense compounds and the patterns produced are analyzed for differential levels of gene expression as they pertain, for example, to disease association, signaling pathway,



BIOL0004US

-22-

PATENT

cellular localization, expression level, size, structure or function of the genes examined. These analyses can be performed on stimulated or unstimulated cells and in the presence or absence of other compounds which affect expression patterns.

Examples of methods of gene expression analysis known in the art include DNA arrays or microarrays (Brazma and Vilo, *FEBS Lett.*, 2000, 480, 17-24; Celis, et al., *FEBS Lett.*, 2000, 480, 2-16), SAGE (serial analysis of gene expression) (Madden, et al., *Drug Discov. Today*, 2000, 5, 415-425), READS (restriction enzyme amplification of digested cDNAs) (Prashar and Weissman, *Methods Enzymol.*, 1999, 303, 258-72), TOGA (total gene expression analysis) (Sutcliffe, et al., *Proc. Natl. Acad. Sci. U. S. A.*, 2000, 97, 1976-81), protein arrays and proteomics (Celis, et al., *FEBS Lett.*, 2000, 480, 2-16; Jungblut, et al., *Electrophoresis*, 1999, 20, 2100-10), expressed sequence tag (EST) sequencing (Celis, et al., *FEBS Lett.*, 2000, 480, 2-16; Larsson, et al., *J. Biotechnol.*, 2000, 80, 143-57), subtractive RNA fingerprinting (SuRF) (Fuchs, et al., *Anal. Biochem.*, 2000, 286, 91-98; Larson, et al., *Cytometry*, 2000, 41, 203-208), subtractive cloning, differential display (DD) (Jurecic and Belmont, *Curr. Opin. Microbiol.*, 2000, 3, 316-21), comparative genomic hybridization (Carulli, et al., *J. Cell Biochem. Suppl.*, 1998, 31, 286-96), FISH (fluorescent in situ hybridization) techniques (Going and Gusterson, *Eur. J. Cancer*, 1999, 35, 1895-904) and mass spectrometry methods (To, *Comb. Chem. High Throughput Screen*, 2000, 3, 235-41).

The compounds of the invention are useful for research and diagnostics, because these compounds hybridize to nucleic acids encoding apolipoprotein C-III. For example, oligonucleotides that are shown to hybridize with such

BIOL0004US

-23-

PATENT

efficiency and under such conditions as disclosed herein as to be effective apolipoprotein C-III inhibitors will also be effective primers or probes under conditions favoring gene amplification or detection, respectively. These primers and probes are useful in methods requiring the specific detection of nucleic acid molecules encoding apolipoprotein C-III and in the amplification of said nucleic acid molecules for detection or for use in further studies of apolipoprotein C-III. Hybridization of the antisense oligonucleotides, particularly the primers and probes, of the invention with a nucleic acid encoding apolipoprotein C-III can be detected by means known in the art. Such means may include conjugation of an enzyme to the oligonucleotide, radiolabelling of the oligonucleotide or any other suitable detection means. Kits using such detection means for detecting the level of apolipoprotein C-III in a sample may also be prepared.

The specificity and sensitivity of antisense is also harnessed by those of skill in the art for therapeutic uses. Antisense compounds have been employed as therapeutic moieties in the treatment of disease states in animals, including humans. Antisense oligonucleotide drugs, including ribozymes, have been safely and effectively administered to humans and numerous clinical trials are presently underway. It is thus established that antisense compounds can be useful therapeutic modalities that can be configured to be useful in treatment regimes for the treatment of cells, tissues and animals, especially humans.

For therapeutics, an animal, preferably a human, suspected of having a disease or disorder which can be treated by modulating the expression of apolipoprotein C-III is treated by administering antisense compounds in accordance with this invention. For example, in one non-limiting

BIOL0004US

-24-

PATENT

embodiment, the methods comprise the step of administering to the animal in need of treatment, a therapeutically effective amount of a apolipoprotein C-III inhibitor. The apolipoprotein C-III inhibitors of the present invention effectively inhibit the activity of the apolipoprotein C-III protein or inhibit the expression of the apolipoprotein C-III protein. In one embodiment, the activity or expression of apolipoprotein C-III in an animal is inhibited by about 10%. Preferably, the activity or expression of apolipoprotein C-III in an animal is inhibited by about 30%. More preferably, the activity or expression of apolipoprotein C-III in an animal is inhibited by 50% or more.

For example, the reduction of the expression of apolipoprotein C-III may be measured in serum, adipose tissue, liver or any other body fluid, tissue or organ of the animal. Preferably, the cells contained within said fluids, tissues or organs being analyzed contain a nucleic acid molecule encoding apolipoprotein C-III protein and/or the apolipoprotein C-III protein itself.

The compounds of the invention can be utilized in pharmaceutical compositions by adding an effective amount of a compound to a suitable pharmaceutically acceptable diluent or carrier. Use of the compounds and methods of the invention may also be useful prophylactically.

25

#### **F. Modifications**

As is known in the art, a nucleoside is a base-sugar combination. The base portion of the nucleoside is normally a heterocyclic base. The two most common classes of such heterocyclic bases are the purines and the pyrimidines. Nucleotides are nucleosides that further include a phosphate group covalently linked to the sugar portion of the

30

BIOL0004US

-25-

PATENT

nucleoside. For those nucleosides that include a pentofuranosyl sugar, the phosphate group can be linked to either the 2', 3' or 5' hydroxyl moiety of the sugar. In forming oligonucleotides, the phosphate groups covalently link adjacent nucleosides to one another to form a linear polymeric compound. In turn, the respective ends of this linear polymeric compound can be further joined to form a circular compound, however, linear compounds are generally preferred. In addition, linear compounds may have internal nucleobase complementarity and may therefore fold in a manner as to produce a fully or partially double-stranded compound. Within oligonucleotides, the phosphate groups are commonly referred to as forming the internucleoside backbone of the oligonucleotide. The normal linkage or backbone of RNA and DNA is a 3' to 5' phosphodiester linkage.

#### *Modified Internucleoside Linkages (Backbones)*

Specific examples of preferred antisense compounds useful in this invention include oligonucleotides containing modified backbones or non-natural internucleoside linkages. As defined in this specification, oligonucleotides having modified backbones include those that retain a phosphorus atom in the backbone and those that do not have a phosphorus atom in the backbone. For the purposes of this specification, and as sometimes referenced in the art, modified oligonucleotides that do not have a phosphorus atom in their internucleoside backbone can also be considered to be oligonucleosides.

Preferred modified oligonucleotide backbones containing a phosphorus atom therein include, for example, phosphorothioates, chiral phosphorothioates, phosphorodithioates, phosphotriesters, aminoalkylphosphotriesters,

BIOL0004US

-26-

PATENT

5 methyl and other alkyl phosphonates including 3'-alkylene phosphonates, 5'-alkylene phosphonates and chiral phosphonates, phosphinates, phosphoramidates including 3'-amino phosphoramidate and aminoalkylphosphoramidates, thionophosphoramidates, thionoalkylphosphonates, thionoalkylphosphotriesters, selenophosphates and borano-phosphates having normal 3'-5' linkages, 2'-5' linked analogs of these, and those having inverted polarity wherein one or more internucleotide linkages is a 3' to 3', 5' to 5' or 2' to 2' linkage. Preferred oligonucleotides having inverted polarity comprise a single 3' to 3' linkage at the 3'-most internucleotide linkage i.e. a single inverted nucleoside residue which may be abasic (the nucleobase is missing or has a hydroxyl group in place thereof). Various salts, mixed salts and free acid forms are also included.

Representative United States patents that teach the preparation of the above phosphorus-containing linkages include, but are not limited to, U.S.: 3,687,808; 4,469,863; 4,476,301; 5,023,243; 5,177,196; 5,188,897; 5,264,423; 5,276,019; 5,278,302; 5,286,717; 5,321,131; 5,399,676; 5,405,939; 5,453,496; 5,455,233; 5,466,677; 5,476,925; 5,519,126; 5,536,821; 5,541,306; 5,550,111; 5,563,253; 5,571,799; 5,587,361; 5,194,599; 5,565,555; 5,527,899; 5,721,218; 5,672,697 and 5,625,050, certain of which are commonly owned with this application, and each of which is herein incorporated by reference.

Preferred modified oligonucleotide backbones that do not include a phosphorus atom therein have backbones that are formed by short chain alkyl or cycloalkyl internucleoside linkages, mixed heteroatom and alkyl or cycloalkyl internucleoside linkages, or one or more short chain heteroatomic or heterocyclic internucleoside linkages. These

BIOL0004US

-27-

PATENT

include those having morpholino linkages (formed in part from the sugar portion of a nucleoside); siloxane backbones; sulfide, sulfoxide and sulfone backbones; formacetyl and thioformacetyl backbones; methylene formacetyl and thioformacetyl backbones; riboacetyl backbones; alkene containing backbones; sulfamate backbones; methyleneimino and methylenehydrazino backbones; sulfonate and sulfonamide backbones; amide backbones; and others having mixed N, O, S and CH<sub>2</sub> component parts.

Representative United States patents that teach the preparation of the above oligonucleosides include, but are not limited to, U.S.: 5,034,506; 5,166,315; 5,185,444; 5,214,134; 5,216,141; 5,235,033; 5,264,562; 5,264,564; 5,405,938; 5,434,257; 5,466,677; 5,470,967; 5,489,677; 5,541,307; 5,561,225; 5,596,086; 5,602,240; 5,610,289; 5,602,240; 5,608,046; 5,610,289; 5,618,704; 5,623,070; 5,663,312; 5,633,360; 5,677,437; 5,792,608; 5,646,269 and 5,677,439, certain of which are commonly owned with this application, and each of which is herein incorporated by reference.

#### *Modified sugar and internucleoside linkages-Mimetics*

In other preferred oligonucleotide mimetics, both the sugar and the internucleoside linkage (i.e. the backbone), of the nucleotide units are replaced with novel groups. The nucleobase units are maintained for hybridization with an appropriate target nucleic acid. One such compound, an oligonucleotide mimetic that has been shown to have excellent hybridization properties, is referred to as a peptide nucleic acid (PNA). In PNA compounds, the sugar-backbone of an oligonucleotide is replaced with an amide containing backbone, in particular an aminoethylglycine backbone. The

BIOL0004US

-28-

PATENT

nucleobases are retained and are bound directly or indirectly to aza nitrogen atoms of the amide portion of the backbone. Representative United States patents that teach the preparation of PNA compounds include, but are not limited to, U.S.: 5,539,082; 5,714,331; and 5,719,262, each of which is herein incorporated by reference. Further teaching of PNA compounds can be found in Nielsen et al., *Science*, 1991, 254, 1497-1500.

Preferred embodiments of the invention are oligonucleotides with phosphorothioate backbones and oligonucleosides with heteroatom backbones, and in particular  $-\text{CH}_2-\text{NH}-\text{O}-\text{CH}_2-$ ,  $-\text{CH}_2-\text{N}(\text{CH}_3)-\text{O}-\text{CH}_2-$  [known as a methylene (methyylimino) or MMI backbone],  $-\text{CH}_2-\text{O}-\text{N}(\text{CH}_3)-\text{CH}_2-$ ,  $-\text{CH}_2-\text{N}(\text{CH}_3)-\text{N}(\text{CH}_3)-\text{CH}_2-$  and  $-\text{O}-\text{N}(\text{CH}_3)-\text{CH}_2-\text{CH}_2-$  [wherein the native phosphodiester backbone is represented as  $-\text{O}-\text{P}-\text{O}-\text{CH}_2-$ ] of the above referenced U.S. patent 5,489,677; and the amide backbones of the above referenced U.S. patent 5,602,240. Also preferred are oligonucleotides having morpholino backbone structures of the above-referenced U.S. patent 5,034,506.

#### Modified sugars

Modified oligonucleotides may also contain one or more substituted sugar moieties. Preferred oligonucleotides comprise one of the following at the 2' position: OH; F; O-, S-, or N-alkyl; O-, S-, or N-alkenyl; O-, S- or N-alkynyl; or O-alkyl-O-alkyl, wherein the alkyl, alkenyl and alkynyl may be substituted or unsubstituted  $\text{C}_1$  to  $\text{C}_{10}$  alkyl or  $\text{C}_2$  to  $\text{C}_{10}$  alkenyl and alkynyl. Particularly preferred are  $\text{O}[(\text{CH}_2)_n\text{O}]_m\text{CH}_3$ ,  $\text{O}(\text{CH}_2)_n\text{OCH}_3$ ,  $\text{O}(\text{CH}_2)_n\text{NH}_2$ ,  $\text{O}(\text{CH}_2)_n\text{CH}_3$ ,  $\text{O}(\text{CH}_2)_n\text{ONH}_2$ , and  $\text{O}(\text{CH}_2)_n\text{ON}[(\text{CH}_2)_n\text{CH}_3]_2$ , where  $n$  and  $m$  are from 1 to about 10. Other preferred oligonucleotides comprise one of the

BIOL0004US

-29-

PATENT

following at the 2' position: C<sub>1</sub> to C<sub>10</sub> lower alkyl, substituted lower alkyl, alkenyl, alkynyl, alkaryl, aralkyl, O-alkaryl or O-aralkyl, SH, SCH<sub>3</sub>, OCN, Cl, Br, CN, CF<sub>3</sub>, OCF<sub>3</sub>, SOCH<sub>3</sub>, SO<sub>2</sub>CH<sub>3</sub>, ONO<sub>2</sub>, NO<sub>2</sub>, N<sub>3</sub>, NH<sub>2</sub>, heterocycloalkyl, heterocycloalkaryl, aminoalkylamino, polyalkylamino, substituted silyl, an RNA cleaving group, a reporter group, an intercalator, a group for improving the pharmacokinetic properties of an oligonucleotide, or a group for improving the pharmacodynamic properties of an oligonucleotide, and other substituents having similar properties. A preferred modification includes 2'-methoxyethoxy (2'-O-CH<sub>2</sub>CH<sub>2</sub>OCH<sub>3</sub>, also known as 2'-O-(2-methoxyethyl) or 2'-MOE) (Martin et al., *Helv. Chim. Acta*, 1995, 78, 486-504) i.e., an alkoxyalkoxy group. A further preferred modification includes 2'-dimethylaminoethoxyethoxy, i.e., a O(CH<sub>2</sub>)<sub>2</sub>ON(CH<sub>3</sub>)<sub>2</sub> group, also known as 2'-DMAOE, as described in examples hereinbelow, and 2'-dimethylaminoethoxyethoxy (also known in the art as 2'-O-dimethyl-amino-ethoxy-ethyl or 2'-DMAEOE), i.e., 2'-O-CH<sub>2</sub>-O-CH<sub>2</sub>-N(CH<sub>3</sub>)<sub>2</sub>, also described in examples hereinbelow.

Other preferred modifications include 2'-methoxy (2'-O-CH<sub>3</sub>), 2'-aminopropoxy (2'-OCH<sub>2</sub>CH<sub>2</sub>CH<sub>2</sub>NH<sub>2</sub>), 2'-allyl (2'-CH<sub>2</sub>-CH=CH<sub>2</sub>), 2'-O-allyl (2'-O-CH<sub>2</sub>-CH=CH<sub>2</sub>) and 2'-fluoro (2'-F). The 2'-modification may be in the arabino (up) position or ribo (down) position. A preferred 2'-arabino modification is 2'-F. Similar modifications may also be made at other positions on the oligonucleotide, particularly the 3' position of the sugar on the 3' terminal nucleotide or in 2'-5' linked oligonucleotides and the 5' position of 5' terminal nucleotide. Oligonucleotides may also have sugar mimetics such as cyclobutyl moieties in place of the pentofuranosyl sugar. Representative United States patents that teach the preparation of such modified sugar structures include, but



BIOL0004US

-30-

PATENT

are not limited to, U.S.: 4,981,957; 5,118,800; 5,319,080; 5,359,044; 5,393,878; 5,446,137; 5,466,786; 5,514,785; 5,519,134; 5,567,811; 5,576,427; 5,591,722; 5,597,909; 5,610,300; 5,627,053; 5,639,873; 5,646,265; 5,658,873; 5,670,633; 5,792,747; and 5,700,920, certain of which are commonly owned with the instant application, and each of which is herein incorporated by reference in its entirety.

A further preferred modification of the sugar includes Locked Nucleic Acids (LNAs) in which the 2'-hydroxyl group is linked to the 3' or 4' carbon atom of the sugar ring, thereby forming a bicyclic sugar moiety. The linkage is preferably a methylene  $(-CH_2-)_n$  group bridging the 2' oxygen atom and the 4' carbon atom wherein n is 1 or 2. LNAs and preparation thereof are described in WO 98/39352 and WO 99/14226.

15

#### *Natural and Modified Nucleobases*

Oligonucleotides may also include nucleobase (often referred to in the art simply as "base") modifications or substitutions. As used herein, "unmodified" or "natural" nucleobases include the purine bases adenine (A) and guanine (G), and the pyrimidine bases thymine (T), cytosine (C) and uracil (U). Modified nucleobases include other synthetic and natural nucleobases such as 5-methylcytosine (5-me-C), 5-hydroxymethyl cytosine, xanthine, hypoxanthine, 2-aminoadenine, 6-methyl and other alkyl derivatives of adenine and guanine, 2-propyl and other alkyl derivatives of adenine and guanine, 2-thiouracil, 2-thiothymine and 2-thiocytosine, 5-halouracil and cytosine, 5-propynyl  $(-C\equiv C-CH_3)$  uracil and cytosine and other alkynyl derivatives of pyrimidine bases, 6-azo uracil, cytosine and thymine, 5-uracil (pseudouracil), 4-thiouracil, 8-halo, 8-amino, 8-thiol, 8-thioalkyl, 8-hydroxyl and other 8-substituted adenines and guanines, 5-

20  
25  
30

BIOL0004US

-31-

PATENT

halo particularly 5-bromo, 5-trifluoromethyl and other 5-substituted uracils and cytosines, 7-methylguanine and 7-methyladenine, 2-F-adenine, 2-amino-adenine, 8-azaguanine and 8-azaadenine, 7-deazaguanine and 7-deazaadenine and 3-deazaguanine and 3-deazaadenine. Further modified nucleobases include tricyclic pyrimidines such as phenoxazine cytidine (1H-pyrimido[5,4-b][1,4]benzoxazin-2(3H)-one), phenothiazine cytidine (1H-pyrimido[5,4-b][1,4]benzothiazin-2(3H)-one), G-clamps such as a substituted phenoxazine cytidine (e.g. 9-(2-aminoethoxy)-H-pyrimido[5,4-b][1,4]benzoxazin-2(3H)-one), carbazole cytidine (2H-pyrimido[4,5-b]indol-2-one), pyridoindole cytidine (H-pyrido[3',2':4,5]pyrrolo[2,3-d]pyrimidin-2-one). Modified nucleobases may also include those in which the purine or pyrimidine base is replaced with other heterocycles, for example 7-deaza-adenine, 7-deazaguanosine, 2-aminopyridine and 2-pyridone. Further nucleobases include those disclosed in United States Patent No. 3,687,808, those disclosed in *The Concise Encyclopedia Of Polymer Science And Engineering*, pages 858-859, Kroschwitz, J.I., ed. John Wiley & Sons, 1990, those disclosed by Englisch et al., *Angewandte Chemie*, International Edition, 1991, 30, 613, and those disclosed by Sanghvi, Y.S., Chapter 15, *Antisense Research and Applications*, pages 289-302, Crooke, S.T. and Lebleu, B., ed., CRC Press, 1993. Certain of these nucleobases are particularly useful for increasing the binding affinity of the compounds of the invention. These include 5-substituted pyrimidines, 6-azapyrimidines and N-2, N-6 and O-6 substituted purines, including 2-aminopropyladenine, 5-propynyluracil and 5-propynylcytosine. 5-methylcytosine substitutions have been shown to increase nucleic acid duplex stability by 0.6-1.2 °C and are presently preferred base

BIOL0004US

-32-

PATENT

substitutions, even more particularly when combined with 2'-O-methoxyethyl sugar modifications.

Representative United States patents that teach the preparation of certain of the above noted modified nucleobases as well as other modified nucleobases include, but are not limited to, the above noted U.S. 3,687,808, as well as U.S.: 4,845,205; 5,130,302; 5,134,066; 5,175,273; 5,367,066; 5,432,272; 5,457,187; 5,459,255; 5,484,908; 5,502,177; 5,525,711; 5,552,540; 5,587,469; 5,594,121; 5,596,091; 5,614,617; 5,645,985; 5,830,653; 5,763,588; 6,005,096; and 5,681,941, certain of which are commonly owned with the instant application, and each of which is herein incorporated by reference, and United States patent 5,750,692, which is commonly owned with the instant application and also herein incorporated by reference.

### Conjugates

Another modification of the oligonucleotides of the invention involves chemically linking to the oligonucleotide one or more moieties or conjugates which enhance the activity, cellular distribution or cellular uptake of the oligonucleotide. These moieties or conjugates can include conjugate groups covalently bound to functional groups such as primary or secondary hydroxyl groups. Conjugate groups of the invention include intercalators, reporter molecules, polyamines, polyamides, polyethylene glycols, polyethers, groups that enhance the pharmacodynamic properties of oligomers, and groups that enhance the pharmacokinetic properties of oligomers. Typical conjugate groups include cholesterol, lipids, phospholipids, biotin, phenazine, folate, phenanthridine, anthraquinone, acridine, fluoresceins, rhodamines, coumarins, and dyes. Groups that enhance

BIOL0004US

-33-

PATENT

the pharmacodynamic properties, in the context of this invention, include groups that improve uptake, enhance resistance to degradation, and/or strengthen sequence-specific hybridization with the target nucleic acid. Groups that

5 enhance the pharmacokinetic properties, in the context of this invention, include groups that improve uptake, distribution, metabolism or excretion of the compounds of the present invention. Representative conjugate groups are disclosed in International Patent Application PCT/US92/09196,

10 filed October 23, 1992, and U.S. Patent 6,287,860, the entire disclosure of which are incorporated herein by reference. Conjugate moieties include but are not limited to lipid moieties such as a cholesterol moiety, cholic acid, a thioether, e.g., hexyl-S-tritylthiol, a thiocholesterol, an

15 aliphatic chain, e.g., dodecandiol or undecyl residues, a phospholipid, e.g., di-hexadecyl-rac-glycerol or triethylammonium 1,2-di-O-hexadecyl-rac-glycero-3-H-phosphonate, a polyamine or a polyethylene glycol chain, or adamantane. acetic acid, a palmityl moiety, or an octadecylamine or

20 hexylamino-carbonyl-oxycholesterol moiety. Oligonucleotides of the invention may also be conjugated to active drug substances, for example, aspirin, warfarin, phenylbutazone, ibuprofen, suprofen, fenbufen, ketoprofen, (S)-(+)-

25 pranoprofen, carprofen, dansylsarcosine, 2,3,5-triiodobenzoic acid, flufenamic acid, folinic acid, a benzothiadiazide, chlorothiazide, a diazepine, indomethacin, a barbiturate, a cephalosporin, a sulfa drug, an antidiabetic, an antibacterial or an antibiotic. Oligonucleotide-drug

30 conjugates and their preparation are described in United States Patent Application 09/334,130 (filed June 15, 1999) which is incorporated herein by reference in its entirety.

Representative United States patents that teach the

BIOL0004US

-34-

PATENT

preparation of such oligonucleotide conjugates include, but are not limited to, U.S.: 4,828,979; 4,948,882; 5,218,105; 5,525,465; 5,541,313; 5,545,730; 5,552,538; 5,578,717; 5,580,731; 5,580,731; 5,591,584; 5,109,124; 5,118,802; 5,138,045; 5,414,077; 5,486,603; 5,512,439; 5,578,718; 5,608,046; 4,587,044; 4,605,735; 4,667,025; 4,762,779; 4,789,737; 4,824,941; 4,835,263; 4,876,335; 4,904,582; 4,958,013; 5,082,830; 5,112,963; 5,214,136; 5,082,830; 5,112,963; 5,214,136; 5,245,022; 5,254,469; 5,258,506; 5,262,536; 5,272,250; 5,292,873; 5,317,098; 5,371,241; 5,391,723; 5,416,203; 5,451,463; 5,510,475; 5,512,667; 5,514,785; 5,565,552; 5,567,810; 5,574,142; 5,585,481; 5,587,371; 5,595,726; 5,597,696; 5,599,923; 5,599,928 and 5,688,941, certain of which are commonly owned with the instant application, and each of which is herein incorporated by reference.

#### *Chimeric compounds*

It is not necessary for all positions in a given compound to be uniformly modified, and in fact more than one of the aforementioned modifications may be incorporated in a single compound or even at a single nucleoside within an oligonucleotide.

The present invention also includes antisense compounds which are chimeric compounds. "Chimeric" antisense compounds or "chimeras," in the context of this invention, are antisense compounds, particularly oligonucleotides, which contain two or more chemically distinct regions, each made up of at least one monomer unit, i.e., a nucleotide in the case of an oligonucleotide compound. These oligonucleotides typically contain at least one region wherein the oligonucleotide is modified so as to confer upon the

BIOL0004US

-35-

PATENT

oligonucleotide increased resistance to nuclease degradation, increased cellular uptake, increased stability and/or increased binding affinity for the target nucleic acid. An additional region of the oligonucleotide may serve as a  
5 substrate for enzymes capable of cleaving RNA:DNA or RNA:RNA hybrids. By way of example, RNase H is a cellular endonuclease which cleaves the RNA strand of an RNA:DNA duplex. Activation of RNase H, therefore, results in cleavage of the RNA target, thereby greatly enhancing the  
10 efficiency of oligonucleotide-mediated inhibition of gene expression. The cleavage of RNA:RNA hybrids can, in like fashion, be accomplished through the actions of endoribonucleases, such as RNaseL which cleaves both cellular and viral RNA. Cleavage of the RNA target can be routinely  
15 detected by gel electrophoresis and, if necessary, associated nucleic acid hybridization techniques known in the art.

Chimeric antisense compounds of the invention may be formed as composite structures of two or more oligonucleotides, modified oligonucleotides, oligonucleosides  
20 and/or oligonucleotide mimetics as described above. Such compounds have also been referred to in the art as hybrids or gapmers. Representative United States patents that teach the preparation of such hybrid structures include, but are not limited to, U.S.: 5,013,830; 5,149,797; 5,220,007; 5,256,775;  
25 5,366,878; 5,403,711; 5,491,133; 5,565,350; 5,623,065; 5,652,355; 5,652,356; and 5,700,922, certain of which are commonly owned with the instant application, and each of which is herein incorporated by reference in its entirety.

### 30 G. Formulations

The compounds of the invention may also be admixed, encapsulated, conjugated or otherwise associated with other

BIOL0004US

-36-

PATENT

molecules, molecule structures or mixtures of compounds, as for example, liposomes, receptor-targeted molecules, oral, rectal, topical or other formulations, for assisting in uptake, distribution and/or absorption. Representative

5 United States patents that teach the preparation of such uptake, distribution and/or absorption-assisting formulations include, but are not limited to, U.S.: 5,108,921; 5,354,844; 5,416,016; 5,459,127; 5,521,291; 5,543,158; 5,547,932; 5,583,020; 5,591,721; 4,426,330; 4,534,899; 5,013,556;

10 5,108,921; 5,213,804; 5,227,170; 5,264,221; 5,356,633; 5,395,619; 5,416,016; 5,417,978; 5,462,854; 5,469,854; 5,512,295; 5,527,528; 5,534,259; 5,543,152; 5,556,948; 5,580,575; and 5,595,756, each of which is herein incorporated by reference.

15 The antisense compounds of the invention encompass any pharmaceutically acceptable salts, esters, or salts of such esters, or any other compound which, upon administration to an animal, including a human, is capable of providing (directly or indirectly) the biologically active metabolite

20 or residue thereof. Accordingly, for example, the disclosure is also drawn to prodrugs and pharmaceutically acceptable salts of the compounds of the invention, pharmaceutically acceptable salts of such prodrugs, and other bioequivalents.

The term "prodrug" indicates a therapeutic agent that is

25 prepared in an inactive form that is converted to an active form (i.e., drug) within the body or cells thereof by the action of endogenous enzymes or other chemicals and/or conditions. In particular, prodrug versions of the oligonucleotides of the invention are prepared as SATE

30 [(S-acetyl-2-thioethyl) phosphate] derivatives according to the methods disclosed in WO 93/24510 to Gosselin et al., published December 9, 1993 or in WO 94/26764 and U.S.

BIOL0004US

-37-

PATENT

5,770,713 to Imbach et al.

The term "pharmaceutically acceptable salts" refers to physiologically and pharmaceutically acceptable salts of the compounds of the invention: i.e., salts that retain the  
5 desired biological activity of the parent compound and do not impart undesired toxicological effects thereto. For oligonucleotides, preferred examples of pharmaceutically acceptable salts and their uses are further described in U.S. Patent 6,287,860, which is incorporated herein in its  
10 entirety.

The present invention also includes pharmaceutical compositions and formulations which include the antisense compounds of the invention. The pharmaceutical compositions of the present invention may be administered in a number of  
15 ways depending upon whether local or systemic treatment is desired and upon the area to be treated. Administration may be topical (including ophthalmic and to mucous membranes including vaginal and rectal delivery), pulmonary, e.g., by inhalation or insufflation of powders or aerosols, including  
20 by nebulizer; intratracheal, intranasal, epidermal and transdermal), oral or parenteral. Parenteral administration includes intravenous, intraarterial, subcutaneous, intraperitoneal or intramuscular injection or infusion; or intracranial, e.g., intrathecal or intraventricular,  
25 administration. Oligonucleotides with at least one 2'-O-methoxyethyl modification are believed to be particularly useful for oral administration. Pharmaceutical compositions and formulations for topical administration may include transdermal patches, ointments, lotions, creams, gels, drops,  
30 suppositories, sprays, liquids and powders. Conventional pharmaceutical carriers, aqueous, powder or oily bases, thickeners and the like may be necessary or desirable.



BIOL0004US

-38-

PATENT

Coated condoms, gloves and the like may also be useful.

The pharmaceutical formulations of the present invention, which may conveniently be presented in unit dosage form, may be prepared according to conventional techniques well known in the pharmaceutical industry. Such techniques include the step of bringing into association the active ingredients with the pharmaceutical carrier(s) or excipient(s). In general, the formulations are prepared by uniformly and intimately bringing into association the active ingredients with liquid carriers or finely divided solid carriers or both, and then, if necessary, shaping the product.

The compositions of the present invention may be formulated into any of many possible dosage forms such as, but not limited to, tablets, capsules, gel capsules, liquid syrups, soft gels, suppositories, and enemas. The compositions of the present invention may also be formulated as suspensions in aqueous, non-aqueous or mixed media. Aqueous suspensions may further contain substances which increase the viscosity of the suspension including, for example, sodium carboxymethylcellulose, sorbitol and/or dextran. The suspension may also contain stabilizers.

Pharmaceutical compositions of the present invention include, but are not limited to, solutions, emulsions, foams and liposome-containing formulations. The pharmaceutical compositions and formulations of the present invention may comprise one or more penetration enhancers, carriers, excipients or other active or inactive ingredients.

Emulsions are typically heterogenous systems of one liquid dispersed in another in the form of droplets usually exceeding 0.1  $\mu\text{m}$  in diameter. Emulsions may contain additional components in addition to the dispersed phases,

BIOL0004US

-39-

PATENT

and the active drug which may be present as a solution in either the aqueous phase, oily phase or itself as a separate phase. Microemulsions are included as an embodiment of the present invention. Emulsions and their uses are well known in the art and are further described in U.S. Patent 6,287,860, which is incorporated herein in its entirety.

Formulations of the present invention include liposomal formulations. As used in the present invention, the term "liposome" means a vesicle composed of amphiphilic lipids arranged in a spherical bilayer or bilayers. Liposomes are unilamellar or multilamellar vesicles which have a membrane formed from a lipophilic material and an aqueous interior that contains the composition to be delivered. Cationic liposomes are positively charged liposomes which are believed to interact with negatively charged DNA molecules to form a stable complex. Liposomes that are pH-sensitive or negatively-charged are believed to entrap DNA rather than complex with it. Both cationic and noncationic liposomes have been used to deliver DNA to cells.

Liposomes also include "sterically stabilized" liposomes, a term which, as used herein, refers to liposomes comprising one or more specialized lipids that, when incorporated into liposomes, result in enhanced circulation lifetimes relative to liposomes lacking such specialized lipids. Examples of sterically stabilized liposomes are those in which part of the vesicle-forming lipid portion of the liposome comprises one or more glycolipids or is derivatized with one or more hydrophilic polymers, such as a polyethylene glycol (PEG) moiety. Liposomes and their uses are further described in U.S. Patent 6,287,860, which is incorporated herein in its entirety.

BIOL0004US

-40-

PATENT

The pharmaceutical formulations and compositions of the present invention may also include surfactants. The use of surfactants in drug products, formulations and in emulsions is well known in the art. Surfactants and their uses are further described in U.S. Patent 6,287,860, which is incorporated herein in its entirety.

In one embodiment, the present invention employs various penetration enhancers to effect the efficient delivery of nucleic acids, particularly oligonucleotides. In addition to aiding the diffusion of non-lipophilic drugs across cell membranes, penetration enhancers also enhance the permeability of lipophilic drugs. Penetration enhancers may be classified as belonging to one of five broad categories, i.e., surfactants, fatty acids, bile salts, chelating agents, and non-chelating non-surfactants. Penetration enhancers and their uses are further described in U.S. Patent 6,287,860, which is incorporated herein in its entirety.

One of skill in the art will recognize that formulations are routinely designed according to their intended use, i.e. route of administration.

Preferred formulations for topical administration include those in which the oligonucleotides of the invention are in admixture with a topical delivery agent such as lipids, liposomes, fatty acids, fatty acid esters, steroids, chelating agents and surfactants. Preferred lipids and liposomes include neutral (e.g. dioleoylphosphatidyl DOPE ethanolamine, dimyristoylphosphatidyl choline DMPC, distearoylphosphatidyl choline) negative (e.g. dimyristoylphosphatidyl glycerol DMPG) and cationic (e.g. dioleoyltetramethylaminopropyl DOTAP and dioleoylphosphatidyl ethanolamine DOTMA).

BIOL0004US

-41-

PATENT

For topical or other administration, oligonucleotides of the invention may be encapsulated within liposomes or may form complexes thereto, in particular to cationic liposomes. Alternatively, oligonucleotides may be complexed to lipids, in particular to cationic lipids. Preferred fatty acids and esters, pharmaceutically acceptable salts thereof, and their uses are further described in U.S. Patent 6,287,860, which is incorporated herein in its entirety. Topical formulations are described in detail in United States patent application 09/315,298 filed on May 20, 1999, which is incorporated herein by reference in its entirety.

Compositions and formulations for oral administration include powders or granules, microparticulates, nanoparticulates, suspensions or solutions in water or non-aqueous media, capsules, gel capsules, sachets, tablets or minitabets. Thickeners, flavoring agents, diluents, emulsifiers, dispersing aids or binders may be desirable. Preferred oral formulations are those in which oligonucleotides of the invention are administered in conjunction with one or more penetration enhancers surfactants and chelators. Preferred surfactants include fatty acids and/or esters or salts thereof, bile acids and/or salts thereof. Preferred bile acids/salts and fatty acids and their uses are further described in U.S. Patent 6,287,860, which is incorporated herein in its entirety. Also preferred are combinations of penetration enhancers, for example, fatty acids/salts in combination with bile acids/salts. A particularly preferred combination is the sodium salt of lauric acid, capric acid and UDCA. Further penetration enhancers include polyoxyethylene-9-lauryl ether, polyoxyethylene-20-cetyl ether. Oligonucleotides of the invention may be delivered orally, in granular form including

BIOL0004US

-42-

PATENT

sprayed dried particles, or complexed to form micro or nanoparticles. Oligonucleotide complexing agents and their uses are further described in U.S. Patent 6,287,860, which is incorporated herein in its entirety. Oral formulations for oligonucleotides and their preparation are described in detail in United States applications 09/108,673 (filed July 1, 1998), 09/315,298 (filed May 20, 1999) and 10/071,822, filed February 8, 2002, each of which is incorporated herein by reference in their entirety.

Compositions and formulations for parenteral, intrathecal or intraventricular administration may include sterile aqueous solutions which may also contain buffers, diluents and other suitable additives such as, but not limited to, penetration enhancers, carrier compounds and other pharmaceutically acceptable carriers or excipients.

Certain embodiments of the invention provide pharmaceutical compositions containing one or more oligomeric compounds and one or more other chemotherapeutic agents which function by a non-antisense mechanism. Examples of such chemotherapeutic agents include but are not limited to cancer chemotherapeutic drugs such as daunorubicin, daunomycin, dactinomycin, doxorubicin, epirubicin, idarubicin, esorubicin, bleomycin, mafosfamide, ifosfamide, cytosine arabinoside, bis-chloroethylnitrosurea, busulfan, mitomycin C, actinomycin D, mithramycin, prednisone, hydroxyprogesterone, testosterone, tamoxifen, dacarbazine, procarbazine, hexamethylmelamine, pentamethylmelamine, mitoxantrone, amsacrine, chlorambucil, methylcyclohexylnitrosurea, nitrogen mustards, melphalan, cyclophosphamide, 6-mercaptopurine, 6-thioguanine, cytarabine, 5-azacytidine, hydroxyurea, deoxycoformycin, 4-hydroxyperoxycyclophosphoramide, 5-fluorouracil (5-FU), 5-fluorodeoxyuridine (5-FUdR), methotrexate (MTX),

BIOL0004US

-43-

PATENT

colchicine, taxol, vincristine, vinblastine, etoposide (VP- (16), trimetrexate, irinotecan, topotecan, gemcitabine, teniposide, cisplatin and diethylstilbestrol (DES). When used with the compounds of the invention, such chemotherapeutic agents may be used individually (e.g., 5-FU and oligonucleotide), sequentially (e.g., 5-FU and oligonucleotide for a period of time followed by MTX and oligonucleotide), or in combination with one or more other such chemotherapeutic agents (e.g., 5-FU, MTX and oligonucleotide, or 5-FU, radiotherapy and oligonucleotide). Anti-inflammatory drugs, including but not limited to nonsteroidal anti-inflammatory drugs and corticosteroids, and antiviral drugs, including but not limited to ribivirin, vidarabine, acyclovir and ganciclovir, may also be combined in compositions of the invention. Combinations of antisense compounds and other non-antisense drugs are also within the scope of this invention. Two or more combined compounds may be used together or sequentially.

In another related embodiment, compositions of the invention may contain one or more antisense compounds, particularly oligonucleotides, targeted to a first nucleic acid and one or more additional antisense compounds targeted to a second nucleic acid target. Alternatively, compositions of the invention may contain two or more antisense compounds targeted to different regions of the same nucleic acid target. Numerous examples of antisense compounds are known in the art. Two or more combined compounds may be used together or sequentially.

### 30 H. Dosing

The formulation of therapeutic compositions and their subsequent administration (dosing) is believed to be within

BIOL0004US

-44-

PATENT

the skill of those in the art. Dosing is dependent on severity and responsiveness of the disease state to be treated, with the course of treatment lasting from several days to several months, or until a cure is effected or a  
5 diminution of the disease state is achieved. Optimal dosing schedules can be calculated from measurements of drug accumulation in the body of the patient. Persons of ordinary skill can easily determine optimum dosages, dosing methodologies and repetition rates. Optimum dosages may vary  
10 depending on the relative potency of individual oligonucleotides, and can generally be estimated based on  $EC_{50}$ s found to be effective in *in vitro* and *in vivo* animal models. In general, dosage is from 0.01 ug to 100 g per kg of body weight, and may be given once or more daily, weekly,  
15 monthly or yearly, or even once every 2 to 20 years. Persons of ordinary skill in the art can easily estimate repetition rates for dosing based on measured residence times and concentrations of the drug in bodily fluids or tissues. Following successful treatment, it may be desirable to have  
20 the patient undergo maintenance therapy to prevent the recurrence of the disease state, wherein the oligonucleotide is administered in maintenance doses, ranging from 0.01 ug to 100 g per kg of body weight, once or more daily, to once every 20 years.

25 While the present invention has been described with specificity in accordance with certain of its preferred embodiments, the following examples serve only to illustrate the invention and are not intended to limit the same.

BIOL0004US

-45-

PATENT

**EXAMPLES****Example 1****5 Synthesis of Nucleoside Phosphoramidites**

The following compounds, including amidites and their intermediates were prepared as described in US Patent 6,426,220 and published PCT WO 02/36743; 5'-O-Dimethoxytrityl-thymidine intermediate for 5-methyl dC amidite, 5'-O-Dimethoxytrityl-2'-deoxy-5-methylcytidine intermediate for 5-methyl-dC amidite, 5'-O-Dimethoxytrityl-2'-deoxy-N<sup>4</sup>-benzoyl-5-methylcytidine penultimate intermediate for 5-methyl dC amidite, [5'-O-(4,4'-Dimethoxytriphenylmethyl)-2'-deoxy-N<sup>4</sup>-benzoyl-5-methylcytidin-3'-O-yl]-2-cyanoethyl-N,N-diisopropylphosphoramidite (5-methyl dC amidite), 2'-Fluorodeoxyadenosine, 2'-Fluorodeoxyguanosine, 2'-Fluorouridine, 2'-Fluorodeoxycytidine, 2'-O-(2-Methoxyethyl) modified amidites, 2'-O-(2-methoxyethyl)-5-methyluridine intermediate, 5'-O-DMT-2'-O-(2-methoxyethyl)-5-methyluridine penultimate intermediate, [5'-O-(4,4'-Dimethoxytriphenylmethyl)-2'-O-(2-methoxyethyl)-5-methyluridin-3'-O-yl]-2-cyanoethyl-N,N-diisopropylphosphoramidite (MOE T amidite), 5'-O-Dimethoxytrityl-2'-O-(2-methoxyethyl)-5-methylcytidine intermediate, 5'-O-dimethoxytrityl-2'-O-(2-methoxyethyl)-N<sup>4</sup>-benzoyl-5-methyl-cytidine penultimate intermediate, [5'-O-(4,4'-Dimethoxytriphenylmethyl)-2'-O-(2-methoxyethyl)-N<sup>4</sup>-benzoyl-5-methylcytidin-3'-O-yl]-2-cyanoethyl-N,N-diisopropylphosphoramidite (MOE 5-Me-C amidite), [5'-O-(4,4'-Dimethoxytriphenylmethyl)-2'-O-(2-methoxyethyl)-N<sup>6</sup>-benzoyladenoin-3'-O-yl]-2-cyanoethyl-N,N-diisopropylphosphoramidite (MOE A amidite), [5'-O-(4,4'-



BIOL0004US

-46-

PATENT

Dimethoxytriphenylmethyl)-2'-O-(2-methoxyethyl)-N<sup>4</sup>-  
 isobutyrylguanosin-3'-O-yl]-2-cyanoethyl-N,N-  
 diisopropylphosphoramidite (MOE G amidite), 2'-O-  
 (Aminooxyethyl) nucleoside amidites and 2'-O-(dimethylamino-  
 5 oxyethyl) nucleoside amidites, 2'-(Dimethylaminooxyethoxy)  
 nucleoside amidites, 5'-O-tert-Butyldiphenylsilyl-O<sup>2</sup>-2'-  
 anhydro-5-methyluridine, 5'-O-tert-Butyldiphenylsilyl-2'-O-  
 (2-hydroxyethyl)-5-methyluridine, 2'-O-[(2-  
 phthalimidoxy)ethyl]-5'-t-butyldiphenylsilyl-5-methyluridine  
 10 , 5'-O-tert-butyldiphenylsilyl-2'-O-[(2-  
 formadoximinooxy)ethyl]-5-methyluridine, 5'-O-tert-  
 Butyldiphenylsilyl-2'-O-[N,N dimethylaminooxyethyl]-5-  
 methyluridine, 2'-O-(dimethylaminooxyethyl)-5-methyluridine,  
 5'-O-DMT-2'-O-(dimethylaminooxyethyl)-5-methyluridine, 5'-O-  
 15 DMT-2'-O-(2-N,N-dimethylaminooxyethyl)-5-methyluridine-3'-  
 [(2-cyanoethyl)-N,N-diisopropylphosphoramidite], 2'-  
 (Aminooxyethoxy) nucleoside amidites, N<sup>2</sup>-isobutyryl-6-O-  
 diphenylcarbamoyl-2'-O-(2-ethylacetyl)-5'-O-(4,4'-  
 dimethoxytrityl)guanosine-3'-[(2-cyanoethyl)-N,N-  
 20 diisopropylphosphoramidite], 2'-dimethylaminoethoxyethoxy  
 (2'-DMAEOE) nucleoside amidites, 2'-O-[2(2-N,N-  
 dimethylaminoethoxy)ethyl]-5-methyl uridine, 5'-O-  
 dimethoxytrityl-2'-O-[2(2-N,N-dimethylaminoethoxy)-ethyl]]-5-  
 methyl uridine and 5'-O-Dimethoxytrityl-2'-O-[2(2-N,N-  
 25 dimethylaminoethoxy)-ethyl]]-5-methyl uridine-3'-O-  
 (cyanoethyl-N,N-diisopropyl)phosphoramidite.

**Example 2****Oligonucleotide and oligonucleoside synthesis**

30 The antisense compounds used in accordance with this  
 invention may be conveniently and routinely made through the  
 well-known technique of solid phase synthesis. Equipment for

BIOL0004US

-47-

PATENT

such synthesis is sold by several vendors including, for example, Applied Biosystems (Foster City, CA). Any other means for such synthesis known in the art may additionally or alternatively be employed. It is well known to use similar techniques to prepare oligonucleotides such as the phosphorothioates and alkylated derivatives.

Oligonucleotides: Unsubstituted and substituted phosphodiester (P=O) oligonucleotides are synthesized on an automated DNA synthesizer (Applied Biosystems model 394) using standard phosphoramidite chemistry with oxidation by iodine.

Phosphorothioates (P=S) are synthesized similar to phosphodiester oligonucleotides with the following exceptions: thiation was effected by utilizing a 10% w/v solution of 3,4-dihydro-2H-benzodithiole-3-one 1,1-dioxide in acetonitrile for the oxidation of the phosphite linkages. The thiation reaction step time was increased to 180 sec and preceded by the normal capping step. After cleavage from the CPG column and deblocking in concentrated ammonium hydroxide at 55°C (12-16 hr), the oligonucleotides were recovered by precipitating with >3 volumes of ethanol from a 1 M NH<sub>4</sub>OAc solution. Phosphinate oligonucleotides are prepared as described in U.S. Patent 5,508,270, herein incorporated by reference.

Alkyl phosphonate oligonucleotides are prepared as described in U.S. Patent 4,469,863, herein incorporated by reference.

3'-Deoxy-3'-methylene phosphonate oligonucleotides are prepared as described in U.S. Patents 5,610,289 or 5,625,050, herein incorporated by reference.

Phosphoramidite oligonucleotides are prepared as

BIOL0004US

-48-

PATENT

described in U.S. Patent, 5,256,775 or U.S. Patent 5,366,878, herein incorporated by reference.

Alkylphosphonothioate oligonucleotides are prepared as described in published PCT applications PCT/US94/00902 and  
5 PCT/US93/06976 (published as WO 94/17093 and WO 94/02499, respectively), herein incorporated by reference.

3'-Deoxy-3'-amino phosphoramidate oligonucleotides are prepared as described in U.S. Patent 5,476,925, herein incorporated by reference.

10 Phosphotriester oligonucleotides are prepared as described in U.S. Patent 5,023,243, herein incorporated by reference.

Borano phosphate oligonucleotides are prepared as described in U.S. Patents 5,130,302 and 5,177,198, both  
15 herein incorporated by reference.

Oligonucleosides: Methylenemethylimino linked oligonucleosides, also identified as MMI linked oligonucleosides, methylenedimethylhydrazo linked  
20 oligonucleosides, also identified as MDH linked oligonucleosides, and methylenecarbonylamino linked oligonucleosides, also identified as amide-3 linked oligonucleosides, and methyleneaminocarbonyl linked oligonucleosides, also identified as amide-4 linked oligonucleo-  
25 sides, as well as mixed backbone compounds having, for instance, alternating MMI and P=O or P=S linkages are prepared as described in U.S. Patents 5,378,825, 5,386,023, 5,489,677, 5,602,240 and 5,610,289, all of which are herein incorporated by reference.

30 Formacetal and thioformacetal linked oligonucleosides are prepared as described in U.S. Patents 5,264,562 and 5,264,564, herein incorporated by reference.

BIOL0004US

-49-

PATENT

Ethylene oxide linked oligonucleosides are prepared as described in U.S. Patent 5,223,618, herein incorporated by reference.

### 5 Example 3

#### RNA Synthesis

In general, RNA synthesis chemistry is based on the selective incorporation of various protecting groups at strategic intermediary reactions. Although one of ordinary skill in the art will understand the use of protecting groups in organic synthesis, a useful class of protecting groups includes silyl ethers. In particular bulky silyl ethers are used to protect the 5'-hydroxyl in combination with an acid-labile orthoester protecting group on the 2'-hydroxyl. This set of protecting groups is then used with standard solid-phase synthesis technology. It is important to lastly remove the acid labile orthoester protecting group after all other synthetic steps. Moreover, the early use of the silyl protecting groups during synthesis ensures facile removal when desired, without undesired deprotection of 2' hydroxyl.

Following this procedure for the sequential protection of the 5'-hydroxyl in combination with protection of the 2'-hydroxyl by protecting groups that are differentially removed and are differentially chemically labile, RNA oligonucleotides were synthesized.

RNA oligonucleotides are synthesized in a stepwise fashion. Each nucleotide is added sequentially (3'- to 5'-direction) to a solid support-bound oligonucleotide. The first nucleoside at the 3'-end of the chain is covalently attached to a solid support. The nucleotide precursor, a ribonucleoside phosphoramidite, and activator are added, coupling the second base onto the 5'-end of the first

BIOL0004US

-50-

PATENT

nucleoside. The support is washed and any unreacted 5'-hydroxyl groups are capped with acetic anhydride to yield 5'-acetyl moieties. The linkage is then oxidized to the more stable and ultimately desired P(V) linkage. At the end of  
5 the nucleotide addition cycle, the 5'-silyl group is cleaved with fluoride. The cycle is repeated for each subsequent nucleotide.

Following synthesis, the methyl protecting groups on the phosphates are cleaved in 30 minutes utilizing 1 M disodium-  
10 2-carbamoyl-2-cyanoethylene-1,1-dithiolate trihydrate ( $S_2Na_2$ ) in DMF. The deprotection solution is washed from the solid support-bound oligonucleotide using water. The support is then treated with 40% methylamine in water for 10 minutes at 55 °C. This releases the RNA oligonucleotides into solution,  
15 deprotects the exocyclic amines, and modifies the 2'- groups. The oligonucleotides can be analyzed by anion exchange HPLC at this stage.

The 2'-orthoester groups are the last protecting groups to be removed. The ethylene glycol monoacetate orthoester  
20 protecting group developed by Dharmacon Research, Inc. (Lafayette, CO), is one example of a useful orthoester protecting group which, has the following important properties. It is stable to the conditions of nucleoside phosphoramidite synthesis and oligonucleotide synthesis.  
25 However, after oligonucleotide synthesis the oligonucleotide is treated with methylamine which not only cleaves the oligonucleotide from the solid support but also removes the acetyl groups from the orthoesters. The resulting 2-ethyl-hydroxyl substituents on the orthoester are less electron  
30 withdrawing than the acetylated precursor. As a result, the modified orthoester becomes more labile to acid-catalyzed hydrolysis. Specifically, the rate of cleavage is

BIOL0004US

-51-

PATENT

approximately 10 times faster after the acetyl groups are removed. Therefore, this orthoester possesses sufficient stability in order to be compatible with oligonucleotide synthesis and yet, when subsequently modified, permits  
5 deprotection to be carried out under relatively mild aqueous conditions compatible with the final RNA oligonucleotide product.

Additionally, methods of RNA synthesis are well known in the art (Scaringe, S. A. Ph.D. Thesis, University of  
10 Colorado, 1996; Scaringe, S. A., et al., *J. Am. Chem. Soc.*,  
1998, 120, 11820-11821; Matteucci, M. D. and Caruthers, M. H.  
*J. Am. Chem. Soc.*, 1981, 103, 3185-3191; Beaucage, S. L. and  
Caruthers, M. H. *Tetrahedron Lett.*, 1981, 22, 1859-1862;  
Dahl, B. J., et al., *Acta Chem. Scand.*, 1990, 44, 639-641;  
15 Reddy, M. P., et al., *Tetrahedron Lett.*, 1994, 25, 4311-4314;  
Wincott, F. et al., *Nucleic Acids Res.*, 1995, 23, 2677-2684;  
Griffin, B. E., et al., *Tetrahedron*, 1967, 23, 2301-2313;  
Griffin, B. E., et al., *Tetrahedron*, 1967, 23, 2315-2331).

RNA antisense compounds (RNA oligonucleotides) of the  
20 present invention can be synthesized by the methods herein or  
purchased from Dharmacon Research, Inc (Lafayette, CO). Once  
synthesized, complementary RNA antisense compounds can then  
be annealed by methods known in the art to form double  
stranded (duplexed) antisense compounds. For example,  
25 duplexes can be formed by combining 30  $\mu$ l of each of the  
complementary strands of RNA oligonucleotides (50  $\mu$ M RNA  
oligonucleotide solution) and 15  $\mu$ l of 5X annealing buffer  
(100 mM potassium acetate, 30 mM HEPES-KOH pH 7.4, 2 mM  
magnesium acetate) followed by heating for 1 minute at 90°C,  
30 then 1 hour at 37°C. The resulting duplexed antisense  
compounds can be used in kits, assays, screens, or other  
methods to investigate the role of a target nucleic acid.

**Example 4****Synthesis of Chimeric Oligonucleotides**

Chimeric oligonucleotides, oligonucleosides or mixed  
5 oligonucleotides/oligonucleosides of the invention can be of  
several different types. These include a first type wherein  
the "gap" segment of linked nucleosides is positioned between  
5' and 3' "wing" segments of linked nucleosides and a second  
"open end" type wherein the "gap" segment is located at  
10 either the 3' or the 5' terminus of the oligomeric compound.  
Oligonucleotides of the first type are also known in the art  
as "gapmers" or gapped oligonucleotides. Oligonucleotides of  
the second type are also known in the art as "hemimers" or  
"wingmers".

15       **[2'-O-Me]--[2'-deoxy]--[2'-O-Me] Chimeric  
          Phosphorothioate Oligonucleotides**

Chimeric oligonucleotides having 2'-O-alkyl  
phosphorothioate and 2'-deoxy phosphorothioate oligo-  
nucleotide segments are synthesized using an Applied  
20 Biosystems automated DNA synthesizer Model 394, as above.  
Oligonucleotides are synthesized using the automated  
synthesizer and 2'-deoxy-5'-dimethoxytrityl-3'-O-phosphor-  
amidite for the DNA portion and 5'-dimethoxytrityl-2'-O-  
methyl-3'-O-phosphoramidite for 5' and 3' wings. The  
25 standard synthesis cycle is modified by incorporating  
coupling steps with increased reaction times for the 5'-  
dimethoxytrityl-2'-O-methyl-3'-O-phosphoramidite. The fully  
protected oligonucleotide is cleaved from the support and  
deprotected in concentrated ammonia (NH<sub>4</sub>OH) for 12-16 hr at  
30 55°C. The deprotected oligo is then recovered by an  
appropriate method (precipitation, column chromatography,  
volume reduced in vacuo and analyzed spectrophotometrically

BIOL0004US

-53-

PATENT

for yield and for purity by capillary electrophoresis and by mass spectrometry.

5        **[2'-O-(2-Methoxyethyl)]--[2'-deoxy]--[2'-O-(Methoxyethyl)] Chimeric Phosphorothioate Oligonucleotides**

10        [2'-O-(2-methoxyethyl)]--[2'-deoxy]--[2'-O-(methoxyethyl)] chimeric phosphorothioate oligonucleotides were prepared as per the procedure above for the 2'-O-methyl chimeric oligonucleotide, with the substitution of 2'-O-(methoxyethyl) amidites for the 2'-O-methyl amidites.

15        **[2'-O-(2-Methoxyethyl)Phosphodiester]--[2'-deoxy Phosphorothioate]--[2'-O-(2-Methoxyethyl) Phosphodiester] Chimeric Oligonucleotides**

20        [2'-O-(2-methoxyethyl phosphodiester)]--[2'-deoxy phosphorothioate]--[2'-O-(methoxyethyl) phosphodiester] chimeric oligonucleotides are prepared as per the above procedure for the 2'-O-methyl chimeric oligonucleotide with the substitution of 2'-O-(methoxyethyl) amidites for the 2'-O-methyl amidites, oxidation with iodine to generate the phosphodiester internucleotide linkages within the wing portions of the chimeric structures and sulfurization utilizing 3,4-dithiolane-2-one 1,1 dioxide (Beaucage Reagent) to generate the phosphorothioate internucleotide linkages for the center gap.

30        Other chimeric oligonucleotides, chimeric oligonucleosides and mixed chimeric oligonucleotides/oligonucleosides are synthesized according to United States patent 5,623,065, herein incorporated by reference.



BIOL0004US

-54-

PATENT

**Example 5****Design and screening of duplexed antisense compounds targeting apolipoprotein C-III**

In accordance with the present invention, a series of nucleic acid duplexes comprising the antisense compounds of the present invention and their complements can be designed to target apolipoprotein C-III. The nucleobase sequence of the antisense strand of the duplex comprises at least a portion of an oligonucleotide in Table 1. The ends of the strands may be modified by the addition of one or more natural or modified nucleobases to form an overhang. The sense strand of the dsRNA is then designed and synthesized as the complement of the antisense strand and may also contain modifications or additions to either terminus. For example, in one embodiment, both strands of the dsRNA duplex would be complementary over the central nucleobases, each having overhangs at one or both termini.

For example, a duplex comprising an antisense strand having the sequence CGAGAGGCGGACGGGACCG and having a two-nucleobase overhang of deoxythymidine(dT) would have the following structure:

cgagaggcggacgggaccgTT	Antisense Strand
TTgctctccgcctgccctggc	Complement

RNA strands of the duplex can be synthesized by methods disclosed herein or purchased from Dharmacon Research Inc., (Lafayette, CO). Once synthesized, the complementary strands are annealed. The single strands are aliquoted and diluted to a concentration of 50 uM. Once diluted, 30 uL of each strand is combined with 15uL of a 5X solution of annealing buffer. The final concentration of said buffer is 100 mM potassium acetate, 30 mM HEPES-KOH pH 7.4, and 2mM magnesium acetate. The final volume is 75 uL. This solution is incubated for 1

BIOL0004US

-55-

PATENT

minute at 90°C and then centrifuged for 15 seconds. The tube is allowed to sit for 1 hour at 37°C at which time the dsRNA duplexes are used in experimentation. The final concentration of the dsRNA duplex is 20 uM. This solution  
5 can be stored frozen (-20°C) and freeze-thawed up to 5 times.

Once prepared, the duplexed antisense compounds are evaluated for their ability to modulate apolipoprotein C-III expression.

When cells reached 80% confluency, they are treated with  
10 duplexed antisense compounds of the invention. For cells grown in 96-well plates, wells are washed once with 200 µL OPTI-MEM-1 reduced-serum medium (Gibco BRL) and then treated with 130 µL of OPTI-MEM-1 containing 12 µg/mL LIPOFECTIN (Gibco BRL) and the desired duplex antisense compound at a  
15 final concentration of 200 nM. After 5 hours of treatment, the medium is replaced with fresh medium. Cells are harvested 16 hours after treatment, at which time RNA is isolated and target reduction measured by RT-PCR.

## 20 Example 6

### Oligonucleotide Isolation

After cleavage from the controlled pore glass solid support and deblocking in concentrated ammonium hydroxide at 55°C for 12-16 hours, the oligonucleotides or oligonucleosides  
25 are recovered by precipitation out of 1 M NH<sub>4</sub>OAc with >3 volumes of ethanol. Synthesized oligonucleotides were analyzed by electrospray mass spectroscopy (molecular weight determination) and by capillary gel electrophoresis and judged to be at least 70% full length material. The relative  
30 amounts of phosphorothioate and phosphodiester linkages obtained in the synthesis was determined by the ratio of correct molecular weight relative to the -16 amu product (+/-

BIOL0004US

-56-

PATENT

32 +/-48). For some studies oligonucleotides were purified by HPLC, as described by Chiang et al., *J. Biol. Chem.* 1991, 266, 18162-18171. Results obtained with HPLC-purified material were similar to those obtained with non-HPLC purified material.

**Example 7****Oligonucleotide Synthesis - 96 Well Plate Format**

Oligonucleotides were synthesized via solid phase P(III) phosphoramidite chemistry on an automated synthesizer capable of assembling 96 sequences simultaneously in a 96-well format. Phosphodiester internucleotide linkages were afforded by oxidation with aqueous iodine. Phosphorothioate internucleotide linkages were generated by sulfurization utilizing 3,4-dihydro-2H-benzothiole-3-one 1,1 dioxide (Beaucage Reagent) in anhydrous acetonitrile. Standard base-protected beta-cyanoethyl-diisopropyl phosphoramidites were purchased from commercial vendors (e.g. PE-Applied Biosystems, Foster City, CA, or Pharmacia, Piscataway, NJ). Non-standard nucleosides are synthesized as per standard or patented methods. They are utilized as base protected beta-cyanoethyldiisopropyl phosphoramidites.

Oligonucleotides were cleaved from support and deprotected with concentrated  $\text{NH}_4\text{OH}$  at elevated temperature (55-60°C) for 12-16 hours and the released product then dried in vacuo. The dried product was then re-suspended in sterile water to afford a master plate from which all analytical and test plate samples are then diluted utilizing robotic pipettors.

30

**Example 8****Oligonucleotide Analysis - 96-Well Plate Format**

BIOL0004US

-57-

PATENT

The concentration of oligonucleotide in each well was assessed by dilution of samples and UV absorption spectroscopy. The full-length integrity of the individual products was evaluated by capillary electrophoresis (CE) in either the 96-well format (Beckman P/ACE™ MDQ) or, for individually prepared samples, on a commercial CE apparatus (e.g., Beckman P/ACE™ 5000, ABI 270). Base and backbone composition was confirmed by mass analysis of the compounds utilizing electrospray-mass spectroscopy. All assay test plates were diluted from the master plate using single and multi-channel robotic pipettors. Plates were judged to be acceptable if at least 85% of the compounds on the plate were at least 85% full length.

#### 15 Example 9

##### Cell culture and oligonucleotide treatment

The effect of antisense compounds on target nucleic acid expression can be tested in any of a variety of cell types provided that the target nucleic acid is present at measurable levels. This can be routinely determined using, for example, PCR or Northern blot analysis. The following cell types are provided for illustrative purposes, but other cell types can be routinely used, provided that the target is expressed in the cell type chosen. This can be readily determined by methods routine in the art, for example Northern blot analysis, ribonuclease protection assays, or RT-PCR.

##### T-24 cells:

30 The human transitional cell bladder carcinoma cell line T-24 was obtained from the American Type Culture Collection (ATCC) (Manassas, VA). T-24 cells were routinely cultured in

BIOL0004US

-58-

PATENT

complete McCoy's 5A basal media (Invitrogen Corporation, Carlsbad, CA) supplemented with 10% fetal calf serum (Invitrogen Corporation, Carlsbad, CA), penicillin 100 units per mL, and streptomycin 100 micrograms per mL (Invitrogen Corporation, Carlsbad, CA). Cells were routinely passaged by trypsinization and dilution when they reached 90% confluence. Cells were seeded into 96-well plates (Falcon-Primaria #353872) at a density of 7000 cells/well for use in RT-PCR analysis.

For Northern blotting or other analysis, cells may be seeded onto 100 mm or other standard tissue culture plates and treated similarly, using appropriate volumes of medium and oligonucleotide.

A549 cells:

The human lung carcinoma cell line A549 was obtained from the American Type Culture Collection (ATCC) (Manassas, VA). A549 cells were routinely cultured in DMEM basal media (Invitrogen Corporation, Carlsbad, CA) supplemented with 10% fetal calf serum (Invitrogen Corporation, Carlsbad, CA), penicillin 100 units per mL, and streptomycin 100 micrograms per mL (Invitrogen Corporation, Carlsbad, CA). Cells were routinely passaged by trypsinization and dilution when they reached 90% confluence.

25

NHDF cells:

Human neonatal dermal fibroblast (NHDF) were obtained from the Clonetics Corporation (Walkersville, MD). NHDFs were routinely maintained in Fibroblast Growth Medium (Clonetics Corporation, Walkersville, MD) supplemented as recommended by the supplier. Cells were maintained for up to 10 passages as recommended by the supplier.

BIOL0004US

-59-

PATENT

## HEK cells:

Human embryonic keratinocytes (HEK) were obtained from the Clonetics Corporation (Walkersville, MD). HEKs were  
5 routinely maintained in Keratinocyte Growth Medium (Clonetics Corporation, Walkersville, MD) formulated as recommended by the supplier. Cells were routinely maintained for up to 10 passages as recommended by the supplier.

## 10 HepG2 cells:

The human hepatoblastoma cell line HepG2 was obtained from the American Type Culture Collection (Manassas, VA). HepG2 cells were routinely cultured in Eagle's MEM  
15 supplemented with 10% fetal calf serum, non-essential amino acids, and 1 mM sodium pyruvate (Gibco/Life Technologies, Gaithersburg, MD). Cells were routinely passaged by trypsinization and dilution when they reached 90% confluence. Cells were seeded into 96-well plates (Falcon-Primaria #3872) at a density of 7000 cells/well for use in RT-PCR analysis.

20 For Northern blotting or other analyses, cells may be seeded onto 100 mm or other standard tissue culture plates and treated similarly, using appropriate volumes of medium and oligonucleotide.

## 25 Hep3B cells:

The human hepatocellular carcinoma cell line Hep3B was obtained from the American Type Culture Collection (Manassas, VA). Hep3B cells were routinely cultured in Dulbeccos's MEM  
30 high glucose supplemented with 10% fetal calf serum, L-glutamine and pyridoxine hydrochloride (Gibco/Life Technologies, Gaithersburg, MD). Cells were routinely passaged by trypsinization and dilution when they reached 90%

BIOL0004US

-60-

PATENT

confluence. Cells were seeded into 24-well plates (Falcon-Primaria #3846) at a density of 50,000 cells/well for use in RT-PCR analysis.

5 For Northern blotting or other analyses, cells may be seeded onto 100 mm or other standard tissue culture plates and treated similarly, using appropriate volumes of medium and oligonucleotide.

#### Primary mouse hepatocytes

10 Primary mouse hepatocytes were prepared from CD-1 mice purchased from Charles River Labs. Primary mouse hepatocytes were routinely cultured in Hepatocyte Attachment Media (Gibco) supplemented with 10% Fetal Bovine Serum (Gibco/Life Technologies, Gaithersburg, MD), 250nM dexamethasone (Sigma),  
15 10nM bovine insulin (Sigma). Cells were seeded into 96-well plates (Falcon-Primaria #3872) at a density of 10000 cells/well for use in RT-PCR analysis.

For Northern blotting or other analyses, cells may be seeded onto 100 mm or other standard tissue culture plates  
20 and treated similarly, using appropriate volumes of medium and oligonucleotide.

#### Treatment with antisense compounds:

When cells reached 65-75% confluency, they were treated  
25 with oligonucleotide. For cells grown in 96-well plates, wells were washed once with 100  $\mu$ L OPTI-MEM<sup>TM</sup>-1 reduced-serum medium (Invitrogen Corporation, Carlsbad, CA) and then treated with 130  $\mu$ L of OPTI-MEM<sup>TM</sup>-1 containing 3.75  $\mu$ g/mL LIPOFECTIN<sup>TM</sup> (Invitrogen Corporation, Carlsbad, CA) and the  
30 desired concentration of oligonucleotide. Cells are treated and data are obtained in triplicate. After 4-7 hours of treatment at 37°C, the medium was replaced with fresh medium.

BIOL0004US

-61-

PATENT

Cells were harvested 16-24 hours after oligonucleotide treatment.

The concentration of oligonucleotide used varies from cell line to cell line. To determine the optimal oligonucleotide concentration for a particular cell line, the cells are treated with a positive control oligonucleotide at a range of concentrations. For human cells the positive control oligonucleotide is selected from either ISIS 13920 (**TCCGTCATCGCTCCTCAGGG**, SEQ ID NO: 1) which is targeted to human H-ras, or ISIS 18078, (**GTGCGCGCGAGCCCGAAATC**, SEQ ID NO: 2) which is targeted to human Jun-N-terminal kinase-2 (JNK2). Both controls are 2'-O-methoxyethyl gapmers (2'-O-methoxyethyls shown in bold) with a phosphorothioate backbone. For mouse or rat cells the positive control oligonucleotide is ISIS 15770, **ATGCATTCTGCCCCCAAGGA**, SEQ ID NO: 3, a 2'-O-methoxyethyl gapmer (2'-O-methoxyethyls shown in bold) with a phosphorothioate backbone which is targeted to both mouse and rat c-raf. The concentration of positive control oligonucleotide that results in 80% inhibition of c-H-ras (for ISIS 13920), JNK2 (for ISIS 18078) or c-raf (for ISIS 15770) mRNA is then utilized as the screening concentration for new oligonucleotides in subsequent experiments for that cell line. If 80% inhibition is not achieved, the lowest concentration of positive control oligonucleotide that results in 60% inhibition of c-H-ras, JNK2 or c-raf mRNA is then utilized as the oligonucleotide screening concentration in subsequent experiments for that cell line. If 60% inhibition is not achieved, that particular cell line is deemed as unsuitable for oligonucleotide transfection experiments. The concentrations of antisense oligonucleotides used herein are from 50 nM to 300 nM.



BIOL0004US

-62-

PATENT

**Example 10****Analysis of oligonucleotide inhibition of apolipoprotein C-III expression**

5        Antisense modulation of apolipoprotein C-III expression can be assayed in a variety of ways known in the art. For example, apolipoprotein C-III mRNA levels can be quantitated by, e.g., Northern blot analysis, competitive polymerase chain reaction (PCR), or real-time PCR (RT-PCR). Real-time  
10        quantitative PCR is presently preferred. RNA analysis can be performed on total cellular RNA or poly(A)+ mRNA. The preferred method of RNA analysis of the present invention is the use of total cellular RNA as described in other examples herein. Methods of RNA isolation are well known in the art.  
15        Northern blot analysis is also routine in the art. Real-time quantitative (PCR) can be conveniently accomplished using the commercially available ABI PRISM™ 7600, 7700, or 7900 Sequence Detection System, available from PE-Applied Biosystems, Foster City, CA and used according to  
20        manufacturer's instructions.

      Protein levels of apolipoprotein C-III can be quantitated in a variety of ways well known in the art, such as immunoprecipitation, Western blot analysis  
(immunoblotting), enzyme-linked immunosorbent assay (ELISA)  
25        or fluorescence-activated cell sorting (FACS). Antibodies directed to apolipoprotein C-III can be identified and obtained from a variety of sources, such as the MSRS catalog of antibodies (Aerie Corporation, Birmingham, MI), or can be prepared via conventional monoclonal or polyclonal antibody  
30        generation methods well known in the art.

**Example 11**

BIOL0004US

-63-

PATENT

**Design of phenotypic assays and in vivo studies for the use of apolipoprotein C-III inhibitors**

*Phenotypic assays*

Once apolipoprotein C-III inhibitors have been  
5 identified by the methods disclosed herein, the compounds are further investigated in one or more phenotypic assays, each having measurable endpoints predictive of efficacy in the treatment of a particular disease state or condition. Phenotypic assays, kits and reagents for their use are well  
10 known to those skilled in the art and are herein used to investigate the role and/or association of apolipoprotein C-III in health and disease. Representative phenotypic assays, which can be purchased from any one of several commercial vendors, include those for determining cell viability,  
15 cytotoxicity, proliferation or cell survival (Molecular Probes, Eugene, OR; PerkinElmer, Boston, MA), protein-based assays including enzymatic assays (Panvera, LLC, Madison, WI; BD Biosciences, Franklin Lakes, NJ; Oncogene Research Products, San Diego, CA), cell regulation, signal  
20 transduction, inflammation, oxidative processes and apoptosis (Assay Designs Inc., Ann Arbor, MI), triglyceride accumulation (Sigma-Aldrich, St. Louis, MO), angiogenesis assays, tube formation assays, cytokine and hormone assays and metabolic assays (Chemicon International Inc., Temecula,  
25 CA; Amersham Biosciences, Piscataway, NJ).

In one non-limiting example, cells determined to be appropriate for a particular phenotypic assay (i.e., MCF-7 cells selected for breast cancer studies; adipocytes for obesity studies) are treated with apolipoprotein C-III  
30 inhibitors identified from the in vitro studies as well as control compounds at optimal concentrations which are determined by the methods described above. At the end of the

BIOL0004US

-64-

PATENT

treatment period, treated and untreated cells are analyzed by one or more methods specific for the assay to determine phenotypic outcomes and endpoints.

Phenotypic endpoints include changes in cell morphology over time or treatment dose as well as changes in levels of cellular components such as proteins, lipids, nucleic acids, hormones, saccharides or metals. Measurements of cellular status which include pH, stage of the cell cycle, intake or excretion of biological indicators by the cell, are also endpoints of interest.

Analysis of the genotype of the cell (measurement of the expression of one or more of the genes of the cell) after treatment is also used as an indicator of the efficacy or potency of the apolipoprotein C-III inhibitors. Hallmark genes, or those genes suspected to be associated with a specific disease state, condition, or phenotype, are measured in both treated and untreated cells.

#### *In vivo studies*

The individual subjects of the *in vivo* studies described herein are warm-blooded vertebrate animals, which includes humans.

The clinical trial is subjected to rigorous controls to ensure that individuals are not unnecessarily put at risk and that they are fully informed about their role in the study. To account for the psychological effects of receiving treatments, volunteers are randomly given placebo or apolipoprotein C-III inhibitor. Furthermore, to prevent the doctors from being biased in treatments, they are not informed as to whether the medication they are administering is a apolipoprotein C-III inhibitor or a placebo. Using this

BIOL0004US

-65-

PATENT

randomization approach, each volunteer has the same chance of being given either the new treatment or the placebo.

Volunteers receive either the apolipoprotein C-III inhibitor or placebo for eight week period with biological parameters associated with the indicated disease state or condition being measured at the beginning (baseline measurements before any treatment), end (after the final treatment), and at regular intervals during the study period. Such measurements include the levels of nucleic acid molecules encoding apolipoprotein C-III or apolipoprotein C-III protein levels in body fluids, tissues or organs compared to pre-treatment levels. Other measurements include, but are not limited to, indices of the disease state or condition being treated, body weight, blood pressure, serum titers of pharmacologic indicators of disease or toxicity as well as ADME (absorption, distribution, metabolism and excretion) measurements.

Information recorded for each patient includes age (years), gender, height (cm), family history of disease state or condition (yes/no), motivation rating (some/moderate/great) and number and type of previous treatment regimens for the indicated disease or condition.

Volunteers taking part in this study are healthy adults (age 18 to 65 years) and roughly an equal number of males and females participate in the study. Volunteers with certain characteristics are equally distributed for placebo and apolipoprotein C-III inhibitor treatment. In general, the volunteers treated with placebo have little or no response to treatment, whereas the volunteers treated with the apolipoprotein C-III inhibitor show positive trends in their disease state or condition index at the conclusion of the study.

BIOL0004US

-66-

PATENT

**Example 12****RNA Isolation***Poly(A)+ mRNA isolation*

5 Poly(A)+ mRNA was isolated according to Miura et al.,  
(*Clin. Chem.*, 1996, 42, 1758-1764). Other methods for  
poly(A)+ mRNA isolation are routine in the art. Briefly, for  
cells grown on 96-well plates, growth medium was removed from  
the cells and each well was washed with 200  $\mu$ L cold PBS. 60  
10  $\mu$ L lysis buffer (10 mM Tris-HCl, pH 7.6, 1 mM EDTA, 0.5 M  
NaCl, 0.5% NP-40, 20 mM vanadyl-ribonucleoside complex) was  
added to each well, the plate was gently agitated and then  
incubated at room temperature for five minutes. 55  $\mu$ L of  
lysate was transferred to Oligo d(T) coated 96-well plates  
15 (AGCT Inc., Irvine CA). Plates were incubated for 60 minutes  
at room temperature, washed 3 times with 200  $\mu$ L of wash  
buffer (10 mM Tris-HCl pH 7.6, 1 mM EDTA, 0.3 M NaCl). After  
the final wash, the plate was blotted on paper towels to  
remove excess wash buffer and then air-dried for 5 minutes.  
20 60  $\mu$ L of elution buffer (5 mM Tris-HCl pH 7.6), preheated to  
70°C, was added to each well, the plate was incubated on a  
90°C hot plate for 5 minutes, and the eluate was then  
transferred to a fresh 96-well plate.

Cells grown on 100 mm or other standard plates may be  
25 treated similarly, using appropriate volumes of all  
solutions.

*Total RNA Isolation*

Total RNA was isolated using an RNEASY 96™ kit and  
30 buffers purchased from Qiagen Inc. (Valencia, CA) following  
the manufacturer's recommended procedures. Briefly, for  
cells grown on 96-well plates, growth medium was removed from

BIOL0004US

-67-

PATENT

the cells and each well was washed with 200  $\mu$ L cold PBS. 150  $\mu$ L Buffer RLT was added to each well and the plate vigorously agitated for 20 seconds. 150  $\mu$ L of 70% ethanol was then added to each well and the contents mixed by pipetting three times up and down. The samples were then transferred to the RNEASY 96™ well plate attached to a QIAVAC™ manifold fitted with a waste collection tray and attached to a vacuum source. Vacuum was applied for 1 minute. 500  $\mu$ L of Buffer RW1 was added to each well of the RNEASY 96™ plate and incubated for 15 minutes and the vacuum was again applied for 1 minute. An additional 500  $\mu$ L of Buffer RW1 was added to each well of the RNEASY 96™ plate and the vacuum was applied for 2 minutes. 1 mL of Buffer RPE was then added to each well of the RNEASY 96™ plate and the vacuum applied for a period of 90 seconds. The Buffer RPE wash was then repeated and the vacuum was applied for an additional 3 minutes. The plate was then removed from the QIAVAC™ manifold and blotted dry on paper towels. The plate was then re-attached to the QIAVAC™ manifold fitted with a collection tube rack containing 1.2 mL collection tubes. RNA was then eluted by pipetting 140  $\mu$ L of RNase free water into each well, incubating 1 minute, and then applying the vacuum for 3 minutes.

The repetitive pipetting and elution steps may be automated using a QIAGEN Bio-Robot 9604 (Qiagen, Inc., Valencia CA). Essentially, after lysing of the cells on the culture plate, the plate is transferred to the robot deck where the pipetting, DNase treatment and elution steps are carried out.

### Example 13

Real-time Quantitative PCR Analysis of apolipoprotein C-III

BIOL0004US

-68-

PATENT

**mRNA Levels**

Quantitation of apolipoprotein C-III mRNA levels was accomplished by real-time quantitative PCR using the ABI PRISM™ 7600, 7700, or 7900 Sequence Detection System (PE-  
5 Applied Biosystems, Foster City, CA) according to manufacturer's instructions. This is a closed-tube, non-gel-based, fluorescence detection system which allows high-throughput quantitation of polymerase chain reaction (PCR) products in real-time. As opposed to standard PCR in which  
10 amplification products are quantitated after the PCR is completed, products in real-time quantitative PCR are quantitated as they accumulate. This is accomplished by including in the PCR reaction an oligonucleotide probe that anneals specifically between the forward and reverse PCR  
15 primers, and contains two fluorescent dyes. A reporter dye (e.g., FAM or JOE, obtained from either PE-Applied Biosystems, Foster City, CA, Operon Technologies Inc., Alameda, CA or Integrated DNA Technologies Inc., Coralville, IA) is attached to the 5' end of the probe and a quencher dye  
20 (e.g., TAMRA, obtained from either PE-Applied Biosystems, Foster City, CA, Operon Technologies Inc., Alameda, CA or Integrated DNA Technologies Inc., Coralville, IA) is attached to the 3' end of the probe. When the probe and dyes are intact, reporter dye emission is quenched by the proximity of  
25 the 3' quencher dye. During amplification, annealing of the probe to the target sequence creates a substrate that can be cleaved by the 5'-exonuclease activity of Taq polymerase. During the extension phase of the PCR amplification cycle, cleavage of the probe by Taq polymerase releases the reporter  
30 dye from the remainder of the probe (and hence from the quencher moiety) and a sequence-specific fluorescent signal is generated. With each cycle, additional reporter dye

BIOL0004US

-69-

PATENT

molecules are cleaved from their respective probes, and the fluorescence intensity is monitored at regular intervals by laser optics built into the ABI PRISM™ Sequence Detection System. In each assay, a series of parallel reactions  
5 containing serial dilutions of mRNA from untreated control samples generates a standard curve that is used to quantitate the percent inhibition after antisense oligonucleotide treatment of test samples.

Prior to quantitative PCR analysis, primer-probe sets  
10 specific to the target gene being measured are evaluated for their ability to be "multiplexed" with a GAPDH amplification reaction. In multiplexing, both the target gene and the internal standard gene GAPDH are amplified concurrently in a single sample. In this analysis, mRNA isolated from  
15 untreated cells is serially diluted. Each dilution is amplified in the presence of primer-probe sets specific for GAPDH only, target gene only ("single-plexing"), or both (multiplexing). Following PCR amplification, standard curves of GAPDH and target mRNA signal as a function of dilution are  
20 generated from both the single-plexed and multiplexed samples. If both the slope and correlation coefficient of the GAPDH and target signals generated from the multiplexed samples fall within 10% of their corresponding values generated from the single-plexed samples, the primer-probe  
25 set specific for that target is deemed multiplexable. Other methods of PCR are also known in the art.

PCR reagents were obtained from Invitrogen Corporation, (Carlsbad, CA). RT-PCR reactions were carried out by adding  
20 µL PCR cocktail (2.5x PCR buffer minus MgCl<sub>2</sub>, 6.6 mM MgCl<sub>2</sub>,  
30 375 µM each of dATP, dCTP, dGTP and dTTP, 375 nM each of forward primer and reverse primer, 125 nM of probe, 4 Units RNase inhibitor, 1.25 Units PLATINUM® Taq, 5 Units MuLV



BIOL0004US

-70-

PATENT

reverse transcriptase, and 2.5x ROX dye) to 96-well plates containing 30  $\mu$ L total RNA solution (20-200 ng). The RT reaction was carried out by incubation for 30 minutes at 48°C. Following a 10 minute incubation at 95°C to activate the PLATINUM® Taq, 40 cycles of a two-step PCR protocol were carried out: 95°C for 15 seconds (denaturation) followed by 60°C for 1.5 minutes (annealing/extension).

Gene target quantities obtained by real time RT-PCR are normalized using either the expression level of GAPDH, a gene whose expression is constant, or by quantifying total RNA using RiboGreen™ (Molecular Probes, Inc. Eugene, OR). GAPDH expression is quantified by real time RT-PCR, by being run simultaneously with the target, multiplexing, or separately. Total RNA is quantified using RiboGreen™ RNA quantification reagent (Molecular Probes, Inc. Eugene, OR). Methods of RNA quantification by RiboGreen™ are taught in Jones, L.J., et al, (Analytical Biochemistry, 1998, 265, 368-374).

In this assay, 170  $\mu$ L of RiboGreen™ working reagent (RiboGreen™ reagent diluted 1:350 in 10mM Tris-HCl, 1 mM EDTA, pH 7.5) is pipetted into a 96-well plate containing 30  $\mu$ L purified, cellular RNA. The plate is read in a CytoFluor 4000 (PE Applied Biosystems) with excitation at 485nm and emission at 530nm.

Probes and primers to human apolipoprotein C-III were designed to hybridize to a human apolipoprotein C-III sequence, using published sequence information (nucleotides 6238608 to 6242565 of the sequence with GenBank accession number NT\_035088.1, incorporated herein as SEQ ID NO:4). For human apolipoprotein C-III the PCR primers were:

forward primer: TCAGCTTCATGCAGGGTTACAT (SEQ ID NO: 5)  
reverse primer: ACGCTGCTCAGTGCATCCT (SEQ ID NO: 6) and the

BIOL0004US

-71-

PATENT

PCR probe was: FAM-AAGCACGCCACCAAGACCGCC-TAMRA

(SEQ ID NO: 7) where FAM is the fluorescent dye and TAMRA is the quencher dye. For human GAPDH the PCR primers were:

forward primer: GAAGGTGAAGGTCGGAGTC(SEQ ID NO:8)

- 5 reverse primer: GAAGATGGTGATGGGATTTC GGGTCTCGCTCCTGGAAGAT(SEQ ID NO:9) and the PCR probe was: 5' JOE-CAAGCTTCCCGTTCTCAGCC-TAMRA 3' (SEQ ID NO: 10) where JOE is the fluorescent reporter dye and TAMRA is the quencher dye.

- 10 Probes and primers to mouse apolipoprotein C-III were designed to hybridize to a mouse apolipoprotein C-III sequence, using published sequence information/ (GenBank accession number L04150.1, incorporated herein as SEQ ID NO:11). For mouse apolipoprotein C-III the PCR primers were:
- forward primer: TGCAGGGCTACATGGAACAA (SEQ ID NO:12)
- 15 reverse primer: CGGACTCCTGCACGCTACTT (SEQ ID NO: 13) and the PCR probe was: FAM-CTCCAAGACGGTCCAGGATGCGC-TAMRA (SEQ ID NO: 14) where FAM is the fluorescent reporter dye and TAMRA is the quencher dye. For mouse GAPDH the PCR primers were:
- 20 forward primer: GGCAAATTCAACGGCACAGT(SEQ ID NO:15)
- reverse primer: GGGTCTCGCTCCTGGAAGAT(SEQ ID NO:16) and the PCR probe was: 5' JOE-AAGGCCGAGAATGGGAAGCTTGTCATC- TAMRA 3' (SEQ ID NO: 17) where JOE is the fluorescent reporter dye and TAMRA is the quencher dye.

25

#### Example 14

#### Northern blot analysis of apolipoprotein C-III mRNA levels

- Eighteen hours after antisense treatment, cell monolayers were washed twice with cold PBS and lysed in 1 mL
- 30 RNAZOL™ (TEL-TEST "B" Inc., Friendswood, TX). Total RNA was prepared following manufacturer's recommended protocols. Twenty micrograms of total RNA was fractionated by

BIOL0004US

-72-

PATENT

electrophoresis through 1.2% agarose gels containing 1.1% formaldehyde using a MOPS buffer system (AMRESCO, Inc. Solon, OH). RNA was transferred from the gel to HYBOND™-N+ nylon membranes (Amersham Pharmacia Biotech, Piscataway, NJ) by  
5 overnight capillary transfer using a Northern/Southern Transfer buffer system (TEL-TEST "B" Inc., Friendswood, TX). RNA transfer was confirmed by UV visualization. Membranes were fixed by UV cross-linking using a STRATALINKER™ UV Crosslinker 2400 (Stratagene, Inc, La Jolla, CA) and then  
10 probed using QUICKHYB™ hybridization solution (Stratagene, La Jolla, CA) using manufacturer's recommendations for stringent conditions.

To detect human apolipoprotein C-III, a human apolipoprotein C-III specific probe was prepared by PCR using  
15 the forward primer TCAGCTTCATGCAGGGTTACAT (SEQ ID NO: 5) and the reverse primer ACGCTGCTCAGTGCATCCT (SEQ ID NO: 6). To normalize for variations in loading and transfer efficiency membranes were stripped and probed for human glyceraldehyde-3-phosphate dehydrogenase (GAPDH) RNA (Clontech, Palo Alto,  
20 CA).

To detect mouse apolipoprotein C-III, a mouse apolipoprotein C-III specific probe was prepared by PCR using the forward primer TGCAGGGCTACATGGAACAA (SEQ ID NO: 12) and the reverse primer CGGACTCCTGCACGCTACTT (SEQ ID NO: 13). To  
25 normalize for variations in loading and transfer efficiency membranes were stripped and probed for mouse glyceraldehyde-3-phosphate dehydrogenase (GAPDH) RNA (Clontech, Palo Alto, CA).

Hybridized membranes were visualized and quantitated  
30 using a PHOSPHORIMAGER™ and IMAGEQUANT™ Software V3.3 (Molecular Dynamics, Sunnyvale, CA). Data was normalized to GAPDH levels in untreated controls.

BIOL0004US

-73-

PATENT

**Example 15**

**Antisense inhibition of human apolipoprotein C-III expression  
5 by chimeric phosphorothioate oligonucleotides having 2'-MOE  
wings and a deoxy gap**

In accordance with the present invention, a series of antisense compounds were designed to target different regions of the human apolipoprotein C-III RNA, using published  
10 sequences (nucleotides 6238608 to 6242565 of GenBank accession number NT\_035088.1, representing a genomic sequence, incorporated herein as SEQ ID NO: 4, and GenBank accession number NM\_000040.1, incorporated herein as SEQ ID  
NO: 18). The compounds are shown in Table 1. "Target site"  
15 indicates the first (5'-most) nucleotide number on the particular target sequence to which the compound binds. All compounds in Table 1 are chimeric oligonucleotides ("gapmers") 20 nucleotides in length, composed of a central "gap" region consisting of ten 2'-deoxynucleotides, which is  
20 flanked on both sides (5' and 3' directions) by five-nucleotide "wings". The wings are composed of 2'-methoxyethyl (2'-MOE)nucleotides. The internucleoside (backbone) linkages are phosphorothioate (P=S) throughout the oligonucleotide. All cytidine residues are 5-  
25 methylcytidines. The compounds were analyzed for their effect on human apolipoprotein C-III mRNA levels by quantitative real-time PCR as described in other examples herein. Data are averages from three experiments in which HepG2 cells were treated with the antisense oligonucleotides  
30 of the present invention. The positive control for each datapoint is identified in the table by sequence ID number. If present, "N.D." indicates "no data".

BIOL0004US

-74-

PATENT

Table 1

Inhibition of human apolipoprotein C-III mRNA levels by  
chimeric phosphorothioate oligonucleotides having 2'-MOE  
wings and a deoxy gap

5

ISIS #	REGION	TARGET SEQ ID NO	TARGET SITE	SEQUENCE	% INHIB	SEQ ID NO	CONTROL SEQ ID NO
167824	5'UTR	4	414	ctggagcagctgcctctagg	79	19	1
167835	Coding	4	1292	ccctgcatgaagctgagaag	60	20	1
167837	Coding	18	141	gtgcttcatgtaaccctgca	88	21	1
167846	Coding	4	1369	tggectgctgggccacctgg	66	22	1
167848	Coding	4	3278	tgctccagtagtctttcagg	81	23	1
167851	Coding	4	3326	tgacctcaggggtccaaatcc	41	24	1
304739	5'UTR	4	401	ctctagggatgaactgagca	62	25	1
304740	5'UTR	4	408	cagctgcctctagggatgaa	44	26	1
304741	5'UTR	18	17	ttcctggagcagctgcctct	57	27	1
304742	5'UTR	18	24	acctctgttctctggagcagc	78	28	1
304743	Start Codon	18	29	atggcacctctgttctctgga	78	29	1
304744	Start Codon	18	1065	gggctgcatggcacctctgt	73	30	1
304745	Coding	18	1086	ggcaacaacaaggagtaccc	90	31	1
304746	Coding	18	1090	ggagggcaacaacaaggagt	80	32	1
304747	Coding	18	87	agctcgggcagaggccagga	49	33	1
304748	Coding	18	92	tctgaagctcgggcagaggc	72	34	1
304749	Coding	18	97	cggcctctgaagctcgggca	11	35	1
304750	Coding	4	1267	catcctcggcctctgaagct	49	36	1
304751	Coding	4	1273	gggaggcaccctcggcctct	65	37	1
304752	Coding	4	1278	gagaagggaggcatcctcgg	82	38	1
304753	Coding	4	1281	gctgagaagggaggcatcct	75	39	1
304754	Coding	4	1289	tgcatgaagctgagaaggga	74	40	1
304755	Coding	18	143	gcgtgcttcatgtaaccctg	95	41	1
304756	Coding	4	1313	ttgggtggcgtgcttcatgta	92	42	1
304757	Coding	4	1328	gcacaccttggcgggtcttggt	98	43	1
304758	Coding	4	1334	ctcagtgcatccttggcgggt	97	44	1
304759	Coding	4	1336	tgctcagtgcatccttggcgg	93	45	1
304760	Coding	4	1347	ctcctgcacgctgctcagtg	65	46	1
304761	Coding	4	1349	gactcctgcacgctgctcag	77	47	1
304762	Coding	4	1358	gccacctgggactcctgcac	89	48	1
304763	Coding	18	210	gcccctggcctgctgggcca	71	49	1
304764	Coding	18	211	agcccctggcctgctgggccc	62	50	1
304765	Coding	4	3253	gaagccatcggtcaccacgc	71	51	1
304766	Coding	4	3255	ctgaagccatcggtcacccca	85	52	1
304767	Coding	4	3265	tttcagggaactgaagccat	73	53	1
304768	Coding	4	3273	cagtagtctttcagggaact	40	54	1
304769	Coding	4	3283	aacgggtgctccagtagtctt	66	55	1
304770	Coding	4	3287	ccttaacgggtgctccagtag	88	56	1
304771	Coding	4	3295	gaacttgctccttaacgggtgc	59	57	1
304772	Coding	4	3301	ctcagagaacttgctccttaa	88	58	1

BIOL0004US

-75-

PATENT

304773	Coding	4	3305	agaactcagagaacttgtcc	75	59	1
304774	Coding	4	3310	atcccagaactcagagaact	0	60	1
304775	Coding	4	3320	caggggtccaaatcccagaac	70	61	1
304776	Coding	4	3332	ttggtctgacctcaggggtcc	90	62	1
304777	Coding	4	3333	gttgggtctgacctcaggggtc	84	63	1
304778	Coding	4	3339	gctgaagttggtctgacctc	81	64	1
304779	Coding	4	3347	cagccacgggtgaagttggt	75	65	1
304780	Stop Codon	4	3351	caggcagccacgggtgaagt	83	66	1
304781	Stop Codon	4	3361	attgaggtctcaggcagcca	79	67	1
304782	3'UTR	4	3385	tggataggcaggtggacttg	64	68	1
304783	3'UTR	18	369	ctcgcaggatggataggcag	76	69	1
304784	3'UTR	18	374	aggagctcgcaggatggata	58	70	1
304785	3'UTR	18	380	gacccaaggagctcgcagga	73	71	1
304786	3'UTR	18	385	tgcaggacccaaggagctcg	92	72	1
304787	3'UTR	4	3417	tgagagattgcaggaccaag	88	73	1
304788	3'UTR	4	3422	agccctggagattgcaggac	69	74	1
304789	3'UTR	4	3425	ggcagccctggagattgcag	76	75	1
304790	3'UTR	4	3445	ccttttaagcaacctacagg	65	76	1
304791	3'UTR	4	3450	ctgtcccttttaagcaacct	53	77	1
304792	3'UTR	4	3456	agaatactgtcccttttaag	72	78	1
304793	3'UTR	4	3461	cactgagaatactgtccctt	67	79	1
304794	3'UTR	4	3469	taggagagcactgagaatac	59	80	1
304795	3'UTR	4	3472	gggtaggagagcactgagaa	74	81	1
304796	3'UTR	4	3509	aggccagcatgcctggaggg	63	82	1
304797	3'UTR	4	3514	ttgggaggccagcatgcctg	55	83	1
304798	3'UTR	4	3521	agctttattgggaggccagc	90	84	1
304799	3'UTR	4	3526	tgtccagctttattgggagg	85	85	1
304800	3'UTR	4	3528	cttgtccagctttattggga	94	86	1
304801	3'UTR	4	3533	agcttcttgtccagctttat	74	87	1
304802	3'UTR	4	3539	catagcagcttcttgtccag	73	88	1
304803	exon: intron junction	4	416	acctggagcagctgcctcta	87	89	1
304804	exon: intron junction	4	424	agggcattacctggagcagc	68	90	1
304805	intron: exon junction	4	1053	acctctgttcctgcaaggaa	74	91	1
304806	exon: intron junction	4	1121	aagtgccttacgggcagagggc	78	92	1
304807	exon: intron junction	4	1380	gcgggtgtacctggcctgct	52	93	1
304808	intron	4	2337	aaccctgttgtgaactgcac	59	94	1
304809	intron	4	2405	agtgagcaataccgcctgag	80	95	1
304810	intron	4	2542	cgggcttgaattaggtcagg	56	96	1

As shown in Table 1, SEQ ID NOs 19, 20, 21, 22, 23, 25, 27, 28, 29, 30, 31, 32, 33, 34, 36, 37, 38, 39, 40, 41, 42,

BIOL0004US

-76-

PATENT

43, 44, 45, 46, 47, 48, 49, 50, 51, 52, 53, 55, 56, 57, 58,  
59, 61, 62, 63, 64, 65, 66, 67, 68, 69, 70, 71, 72, 73, 74,  
75, 76, 77, 78, 79, 80, 81, 82, 83, 84, 85, 86, 87, 88, 89,  
90, 91, 92, 93, 94, 95 and 96 demonstrated at least 45%

5 inhibition of human apolipoprotein C-III expression in this  
assay and are therefore preferred. More preferred are SEQ ID  
NOs 75, 86 and 85. The target regions to which these  
preferred sequences are complementary are herein referred to  
as "preferred target segments" and are therefore preferred  
10 for targeting by compounds of the present invention. These  
preferred target segments are shown in Table 3. The  
sequences represent the reverse complement of the preferred  
antisense compounds shown in Table 1. "Target site"  
indicates the first (5'-most) nucleotide number on the  
15 particular target nucleic acid to which the oligonucleotide  
binds. Also shown in Table 3 is the species in which each of  
the preferred target segments was found.

#### 20 Example 16

**Antisense inhibition of mouse apolipoprotein C-III expression  
by chimeric phosphorothioate oligonucleotides having 2'-MOE  
wings and a deoxy gap.**

In accordance with the present invention, a second  
25 series of antisense compounds were designed to target  
different regions of the mouse apolipoprotein C-III RNA,  
using published sequences (GenBank accession number L04150.1,  
incorporated herein as SEQ ID NO: 11). The compounds are  
shown in Table 2. "Target site" indicates the first (5'-most)  
30 nucleotide number on the particular target nucleic acid to  
which the compound binds. All compounds in Table 2 are  
chimeric oligonucleotides ("gapmers") 20 nucleotides in

BIOL0004US

-77-

PATENT

length, composed of a central "gap" region consisting of ten 2'-deoxynucleotides, which is flanked on both sides (5' and 3' directions) by five-nucleotide "wings". The wings are composed of 2'-methoxyethyl (2'-MOE)nucleotides. The internucleoside (backbone) linkages are phosphorothioate (P=S) throughout the oligonucleotide. All cytidine residues are 5-methylcytidines. The compounds were analyzed for their effect on mouse apolipoprotein C-III mRNA levels by quantitative real-time PCR as described in other examples herein. Data are averages from three experiments in which mouse primary hepatocyte cells were treated with the antisense oligonucleotides of the present invention. If present, "N.D." indicates "no data".

Table 2

Inhibition of mouse apolipoprotein C-III mRNA levels by chimeric phosphorothioate oligonucleotides having 2'-MOE wings and a deoxy gap

ISIS #	REGION	TARGET SEQ ID NO	TARGET SITE	SEQUENCE	% INHIB	SEQ ID NO
167858	5'UTR	11	1	tagggataaaaactgagcagg	47	97
167859	5'UTR	11	21	ctggagtagctagctgcttc	30	98
167860	start codon	11	41	gctgcatggcacctacgtac	80	99
167861	coding	11	62	ccacagtgaggagcgtccgg	86	100
167862	coding	11	88	ggcagatgccaggagagcca	55	101
167863	coding	11	104	ctacctcttcagctcgggca	56	102
167864	coding	11	121	cagcagcaaggatccctcta	83	103
167865	coding	11	131	gcacagagcccagcagcaag	49	104
167867	coding	11	215	ccctggccaccgcagctata	67	105
167868	coding	11	239	atctgaagtgattgtccatc	11	106
167869	coding	11	254	agtagcctttcaggaatctg	57	107
167870	coding	11	274	cttgtcagtaaacttgctcc	89	108
167871	coding	11	286	gaagccggtgaacttgctcag	55	109
167872	coding	11	294	gaatcccagaagccggtgaa	29	110
167873	coding	11	299	ggtagaatcccagaagccg	55	111
167874	coding	11	319	tggagttggttggtcctcag	79	112
167875	stop codon	11	334	tcacgactcaatagctggag	77	113



BIOL0004US

-78-

PATENT

167877	3'UTR	11	421	cccttaaagcaaccttcagg	71	114
167878	3'UTR	11	441	agacatgagaacatactttc	81	115
167879	3'UTR	11	471	catgtttaggtgagatctag	87	116
167880	3'UTR	11	496	tcttatccagctttattagg	98	117

As shown in Table 2, SEQ ID NOS 97, 99, 100, 101, 102, 103, 104, 105, 107, 108, 109, 111, 112, 113, 114, 115, 116 and 117 demonstrated at least 45% inhibition of mouse apolipoprotein C-III expression in this experiment and are therefore preferred. More preferred are SEQ ID Nos 117, 116, and 100. The target regions to which these preferred sequences are complementary are herein referred to as "preferred target segments" and are therefore preferred for targeting by compounds of the present invention. These preferred target segments are shown in Table 3. The sequences represent the reverse complement of the preferred antisense compounds shown in Table 2. These sequences are shown to contain thymine (T) but one of skill in the art will appreciate that thymine (T) is generally replaced by uracil (U) in RNA sequences. "Target site" indicates the first (5'-most) nucleotide number on the particular target nucleic acid to which the oligonucleotide binds. Also shown in Table 3 is the species in which each of the preferred target segments was found.

**Table 3**  
**Sequence and position of preferred target segments identified in apolipoprotein C-III.**

SITE ID	TARGET SEQ ID NO	TARGET SITE	SEQUENCE	REV COMP OF SEQ ID	ACTIVE IN	SEQ ID NO
82975	4	414	cctagaggcagctgctccag	19	<i>H. sapiens</i>	118
82980	4	1292	cttctcagcttcatgcaggg	20	<i>H. sapiens</i>	119

BIOL0004US

-79-

PATENT

82981	18	141	tgcagggttacatgaagcac	21	H. sapiens	120
82985	4	1369	ccagggtggcccagcaggcca	22	H. sapiens	121
82987	4	3278	cctgaaagactactggagca	23	H. sapiens	122
220510	4	401	tgctcagttcatccctagag	25	H. sapiens	123
220512	18	17	agaggcagctgctccaggaa	27	H. sapiens	124
220513	18	24	gctgctccaggaacagaggt	28	H. sapiens	125
220514	18	29	tccaggaacagaggtgccat	29	H. sapiens	126
220515	18	1065	acagaggtgccatgcagccc	30	H. sapiens	127
220516	18	1086	gggtactccttggttggtgcc	31	H. sapiens	128
220517	18	1090	actccttggttggtgccctcc	32	H. sapiens	129
220518	18	87	tcctggcctctgcccagagct	33	H. sapiens	130
220519	18	92	gcctctgcccagagcttcaga	34	H. sapiens	131
220521	4	1267	agcttcagaggccgaggatg	36	H. sapiens	132
220522	4	1273	agaggccgaggatgcctccc	37	H. sapiens	133
220523	4	1278	ccgaggatgcctcccttctc	38	H. sapiens	134
220524	4	1281	aggatgcctcccttctcagc	39	H. sapiens	135
220525	4	1289	tcccttctcagcttcacgca	40	H. sapiens	136
220526	18	143	cagggttacatgaagcacgc	41	H. sapiens	137
220527	4	1313	tacatgaagcacgccaccaa	42	H. sapiens	138
220528	4	1328	accaagaccgccaaggatgc	43	H. sapiens	139
220529	4	1334	accgccaaggatgcactgag	44	H. sapiens	140
220530	4	1336	cgccaaggatgcactgagca	45	H. sapiens	141
220531	4	1347	cactgagcagcgtgcaggag	46	H. sapiens	142
220532	4	1349	ctgagcagcgtgcaggagtc	47	H. sapiens	143
220533	4	1358	gtgcaggagtcagggtggc	48	H. sapiens	144
220534	18	210	tggcccagcaggccaggggc	49	H. sapiens	145
220535	18	211	ggcccagcaggccaggggc	50	H. sapiens	146
220536	4	3253	gctgggtgaccgatggcttc	51	H. sapiens	147
220537	4	3255	tgggtgaccgatggcttcag	52	H. sapiens	148
220538	4	3265	atggcttcagttccctgaaa	53	H. sapiens	149
220540	4	3283	aagactactggagcaccgtt	55	H. sapiens	150
220541	4	3287	ctactggagcaccgttaagg	56	H. sapiens	151
220542	4	3295	gcaccgttaaggacaagttc	57	H. sapiens	152
220543	4	3301	ttaaggacaagttctctgag	58	H. sapiens	153
220544	4	3305	ggacaagttctctgagttct	59	H. sapiens	154
220546	4	3320	gttctgggatttgaccctg	61	H. sapiens	155
220547	4	3332	ggaccctgaggtcagaccaa	62	H. sapiens	156
220548	4	3333	gaccctgaggtcagaccaac	63	H. sapiens	157
220549	4	3339	gaggtcagaccaacttcagc	64	H. sapiens	158
220550	4	3347	accaacttcagccgtggctg	65	H. sapiens	159
220551	4	3351	acttcagccgtggctgcctg	66	H. sapiens	160
220552	4	3361	tggctgcctgagacctcaat	67	H. sapiens	161
220553	4	3385	caagtccacctgcctatcca	68	H. sapiens	162
220554	18	369	ctgcctatccatcctgcgag	69	H. sapiens	163
220555	18	374	tatccatcctgcgagctcct	70	H. sapiens	164
220556	18	380	tcctgcgagctccttgggtc	71	H. sapiens	165
220557	18	385	cgagctccttgggtcctgca	72	H. sapiens	166
220558	4	3417	cttgggtcctgcaatctcca	73	H. sapiens	167
220559	4	3422	gtcctgcaatctccagggtc	74	H. sapiens	168
220560	4	3425	ctgcaatctccagggtgcc	75	H. sapiens	169
220561	4	3445	cctgtaggttgcttaaaagg	76	H. sapiens	170
220562	4	3450	aggttgcttaaaaggacag	77	H. sapiens	171
220563	4	3456	cttaaaaggacagattctct	78	H. sapiens	172
220564	4	3461	aaggacagattctcagtg	79	H. sapiens	173
220565	4	3469	gtattctcagtgctctccta	80	H. sapiens	174

BIOL0004US

-80-

PATENT

220566	4	3472	ttctcagtgctctcctaccc	81	<i>H. sapiens</i>	175
220567	4	3509	ccctccaggcatgctggcct	82	<i>H. sapiens</i>	176
220568	4	3514	caggcatgctggcctccaa	83	<i>H. sapiens</i>	177
220569	4	3521	gctggcctcccaataaagct	84	<i>H. sapiens</i>	178
220570	4	3526	cctcccaataaagctggaca	85	<i>H. sapiens</i>	179
220571	4	3528	tcccaataaagctggacaag	86	<i>H. sapiens</i>	180
220572	4	3533	ataaagctggacaagaagct	87	<i>H. sapiens</i>	181
220573	4	3539	ctggacaagaagctgctatg	88	<i>H. sapiens</i>	182
220574	4	416	tagaggcagctgctccaggt	89	<i>H. sapiens</i>	183
220575	4	424	gctgctccaggtaatgccct	90	<i>H. sapiens</i>	184
220576	4	1053	ttccttgccaggaacagaggt	91	<i>H. sapiens</i>	185
220577	4	1121	gcctctgcccgtaagcactt	92	<i>H. sapiens</i>	186
220578	4	1380	agcaggccaggtacaccgc	93	<i>H. sapiens</i>	187
220579	4	2337	gtgcagttcacaacagggtt	94	<i>H. sapiens</i>	188
220580	4	2405	ctcaggcggtattgctcact	95	<i>H. sapiens</i>	189
220581	4	2542	cctgacctaatccaagcccg	96	<i>H. sapiens</i>	190
82997	11	1	cctgctcagttttatcccta	97	<i>M. musculus</i>	191
82999	11	41	gtacgtagggtgccatgcagc	99	<i>M. musculus</i>	192
83000	11	62	cggagcgtcctcactgtgg	100	<i>M. musculus</i>	193
83001	11	88	tggctctcctggcatctgcc	101	<i>M. musculus</i>	194
83002	11	104	tgcccagagctgaagaggtag	102	<i>M. musculus</i>	195
83003	11	121	tagagggatccttgctgctg	103	<i>M. musculus</i>	196
83004	11	131	cttgctgctgggctctgtgc	104	<i>M. musculus</i>	197
83006	11	215	tatagctgcggtggccagggt	105	<i>M. musculus</i>	198
83008	11	254	cagattcctgaaaggctact	107	<i>M. musculus</i>	199
83009	11	274	ggagcaagtttactgacaag	108	<i>M. musculus</i>	200
83010	11	286	ctgacaagttcaccggcttc	109	<i>M. musculus</i>	201
83012	11	299	cggcttctgggattctaacc	111	<i>M. musculus</i>	202
83013	11	319	ctgaggaccaaccaactcca	112	<i>M. musculus</i>	203
83014	11	334	ctccagctattgagtcgtga	113	<i>M. musculus</i>	204
83016	11	421	cctgaagggttgctttaagggt	114	<i>M. musculus</i>	205
83017	11	441	gaaagtatgttctcatgtct	115	<i>M. musculus</i>	206
83018	11	471	ctagatctcacctaacaatg	116	<i>M. musculus</i>	207
83019	11	496	cctaataaagctggataaga	117	<i>M. musculus</i>	208

As these "preferred target segments" have been found by experimentation to be open to, and accessible for,

- 5 hybridization with the antisense compounds of the present invention, one of skill in the art will recognize or be able to ascertain, using no more than routine experimentation, further embodiments of the invention that encompass other compounds that specifically hybridize to these preferred
- 10 target segments and consequently inhibit the expression of apolipoprotein C-III.

According to the present invention, antisense compounds include antisense oligomeric compounds, antisense

BIOL0004US

-81-

PATENT

oligonucleotides, ribozymes, external guide sequence (EGS) oligonucleotides, alternate splicers, primers, probes, and other short oligomeric compounds which hybridize to at least a portion of the target nucleic acid.

5

**Example 17**

**Antisense inhibition of human apolipoprotein C-III expression by chimeric phosphorothioate oligonucleotides having 2'-MOE wings and a deoxy gap - additional antisense compounds**

10 In accordance with the present invention, an additional series of antisense compounds were designed to target different regions of the human apolipoprotein C-III RNA, using published sequences (nucleotides 6238608 to 6242565 of the sequence with GenBank accession number NT\_035088.1, 15 representing a genomic sequence, incorporated herein as SEQ ID NO: 4, and GenBank accession number NM\_000040.1, incorporated herein as SEQ ID NO: 18). The compounds are shown in Table 4. "Target site" indicates the first (5'-most) nucleotide number on the particular target sequence to 20 which the compound binds. All compounds in Table 4 are chimeric oligonucleotides ("gapmers") 20 nucleotides in length, composed of a central "gap" region consisting of ten 2'-deoxynucleotides, which is flanked on both sides (5' and 3' directions) by five-nucleotide "wings". The wings are 25 composed of 2'-methoxyethyl (2'-MOE)nucleotides. The internucleoside (backbone) linkages are phosphorothioate (P=S) throughout the oligonucleotide. All cytidine residues are 5-methylcytidines. The compounds were analyzed for their effect on human apolipoprotein C-III mRNA levels by 30 quantitative real-time PCR as described in other examples herein. Data are averages from three experiments in which HepG2 cells were treated with the antisense oligonucleotides

BIOL0004US

-82-

PATENT

of the present invention. If present, "N.D." indicates "no data".

Table 4

5      **Inhibition of human apolipoprotein C-III mRNA levels by chimeric phosphorothioate oligonucleotides having 2'-MOE wings and a deoxy gap**

ISIS #	TARGET SEQ ID NO	TARGET SITE	SEQUENCE	% INHIB	SEQ ID NO
167826	4	1063	gctgcatggcacctctgttc	0	209
167828	4	1110	ggcagaggccaggagcgcca	0	210
167830	18	91	ctgaagctcgggcagaggcc	9	211
167832	18	101	tcctcggcctctgaagctcg	0	212
167840	4	1315	tcttggtggcgctgcttcag	0	213
167842	4	1335	gctcagtgcaccccttggcgg	38	214
167844	4	1345	cctgcacgctgctcagtgc	28	215
167847	4	3256	actgaagccatcggtcacc	0	216
167850	4	3306	cagaactcagagaacttgct	0	217
167852	4	3336	gaagttggtctgacctcagg	0	218
167853	4	3420	ccctggagattgcaggaccc	0	219
167854	4	3426	gggcagccctggagattgca	22	220
167855	4	3446	cccttttaagcaacctacag	27	221

10

**Example 18**

**Antisense inhibition of human apolipoprotein C-III expression by chimeric phosphorothioate oligonucleotides having 2'-MOE wings and a deoxy gap-Dose Response Study**

15      In accordance with the present invention, a subset of the antisense oligonucleotides from Examples 15 and 17 were further investigated in dose-response studies. Treatment doses were 50, 150 and 300 nM. The compounds were analyzed for their effect on human apolipoprotein C-III mRNA levels in  
20      HepG2 cells by quantitative real-time PCR as described in other examples herein. Data are averages from two experiments and are shown in Table 5.

Table 5

25      **Inhibition of human apolipoprotein C-III mRNA levels by**

BIOL0004US

-83-

PATENT

**chimeric phosphorothioate oligonucleotides having 2'-MOE  
wings and a deoxy gap**

ISIS #	Percent Inhibition		
	50 nM	150 nM	300 nM
167842	88	77	92
167844	86	86	84
167846	79	80	79
167837	83	86	84
304789	81	91	92
304799	82	93	88
304800	80	86	91

5        These data demonstrate that the expression of  
apolipoprotein C-III is inhibited in a dose-dependent manner  
upon treatment of cells with antisense compounds targeting  
apolipoprotein C-III. These compounds were further analyzed  
in Hep3B cells for their ability to reduce mRNA levels in  
10 Hep3B cells and it was determined that Isis 167842 and 167837  
inhibited apolipoprotein C-III expression in a dose dependent  
manner in this cell line as well.

**Example 19**

15    **Antisense inhibition mouse apolipoprotein C-III expression by  
chimeric phosphorothioate oligonucleotides having 2'-MOE  
wings and a deoxy gap- Dose Response Study**

20        In accordance with the present invention, a subset of  
the antisense oligonucleotides in Example 16 were further  
investigated in dose-response studies. Treatment doses were  
40, 120 and 240 nM. The compounds were analyzed for their  
effect on mouse apolipoprotein C-III mRNA levels in primary  
hepatocyte cells by quantitative real-time PCR as described  
in other examples herein. Data are averages from two  
25 experiments and are shown in Table 6.

**Table 6**  
**Inhibition of mouse apolipoprotein C-III mRNA levels by**

BIOL0004US

-84-

PATENT

**chimeric phosphorothioate oligonucleotides having 2'-MOE  
wings and a deoxy gap - Dose Response**

ISIS #	Percent Inhibition		
	40 nM	120 nM	240 nM
167861	48	49	61
167870	16	16	46
167879	25	54	81
167880	76	81	93

These data demonstrate that the expression of mouse  
5 apolipoprotein C-III can be inhibited in a dose-dependent  
manner by treatment with antisense compounds.

**Example 20****Western blot analysis of apolipoprotein C-III protein levels**

10 Western blot analysis (immunoblot analysis) is carried  
out using standard methods. Cells are harvested 16-20 h  
after oligonucleotide treatment, washed once with PBS,  
suspended in Laemmli buffer (100 ul/well), boiled for 5  
minutes and loaded on a 16% SDS-PAGE gel. Gels are run for  
15 1.5 hours at 150 V, and transferred to membrane for western  
blotting. Appropriate primary antibody directed to  
apolipoprotein C-III is used, with a radiolabeled or  
fluorescently labeled secondary antibody directed against the  
primary antibody species. Bands are visualized using a  
20 PHOSPHORIMAGER™ (Molecular Dynamics, Sunnyvale CA).

**Example 21****Effects of antisense inhibition of apolipoprotein C-III (ISIS  
167880) on serum cholesterol and triglyceride levels**

25 C57BL/6 mice, a strain reported to be susceptible to  
hyperlipidemia-induced atherosclerotic plaque formation were  
used in the following studies to evaluate apolipoprotein C-  
III antisense oligonucleotides as potential agents to lower  
cholesterol and triglyceride levels.

BIOL0004US

-85-

PATENT

Male C57BL/6 mice (n=8) receiving a high fat diet (60% kcal fat) were evaluated over the course of 6 weeks for the effects of ISIS 167880 on serum cholesterol and triglyceride levels. Control animals received saline treatment (50 mg/kg). Mice were dosed intraperitoneally every three days (twice a week), after fasting overnight, with 50 mg/kg ISIS 167880 (SEQ ID No: 117) or saline (50 mg/kg) for six weeks.

Male C57BL/6 mice fed a normal rodent diet were fasted overnight then dosed intraperitoneally every three days with saline (control), 50 mg/kg ISIS 167880 (SEQ ID No: 117) or 50 mg/kg ISIS 167879 (SEQ ID No: 116) for two weeks.

At study termination, forty eight hours after the final injections, the animals were sacrificed and evaluated for serum cholesterol and triglyceride levels and normalized to the saline control.

High fat fed mice treated with ISIS 167880 showed a reduction in both serum cholesterol (196 mg/dL for control animals and 137 mg/dL for ISIS 167880) and triglycerides (151 mg/dL for control animals and 58 mg/dL for ISIS 167880) by study end.

No effect was seen on serum cholesterol levels for lean mice treated with ISIS 167880 (91 mg/dL for control animals and 91 mg/dL for ISIS 167880), however triglycerides were lowered (91 mg/dL for control animals and 59 mg/dL for ISIS 167880) by study end.

Lean mice treated with ISIS 167879 showed an increase in serum cholesterol (91 mg/dL for control animals and 116 mg/dL for ISIS 167879) but a reduction in triglycerides (91 mg/dL for control animals and 65 mg/dL for ISIS 167879) by study end.

These results indicate that, in mice fed a high fat diet, ISIS 167880 reduces cholesterol and triglyceride to levels that are comparable to lean littermates while having no deleterious effects on the lean animals. (See Table 7 for summary of in vivo data).



BIOL0004US

-86-

PATENT

**Example 22****Effects of antisense inhibition of apolipoprotein C-III (ISIS 167880) on serum AST and ALT levels**

5 C57BL/6 mice were used in the following studies to evaluate the liver toxicity of apolipoprotein C-III antisense oligonucleotides.

Male C57BL/6 mice (n=8) receiving a high fat diet (60% kcal fat) were evaluated over the course of 6 weeks for the effects of ISIS 167880 on liver enzyme (AST and ALT) levels. 10 Control animals received saline treatment (50 mg/kg). Mice were dosed intraperitoneally every three days (twice a week), after fasting overnight, with 50 mg/kg ISIS 167880 (SEQ ID No: 117) or saline (50 mg/kg) for six weeks.

Male C57BL/6 mice fed a normal rodent diet were fasted 15 overnight then dosed intraperitoneally every three days with saline (control), 50 mg/kg ISIS 167880 (SEQ ID No: 117) or 50 mg/kg ISIS 167879 (SEQ ID No: 116) for two weeks.

At study termination and forty eight hours after the final injections, animals were sacrificed and evaluated for 20 AST and ALT levels. Increased levels of the liver enzymes ALT and AST indicate toxicity and liver damage.

Hig fat fed mice treated with ISIS 167880 showed an increase in AST levels over the duration of the study compared to saline controls (157 IU/L for ISIS 167880, 25 compared to 92 IU/L for saline control).

ALT levels in high fat fed mice were increased by treatments with ISIS 167880 over the duration of the study compared to saline controls (64 IU/L for ISIS 167880, compared to 40 IU/L for saline control).

30 Lean mice treated with ISIS 167880 showed no significant increase in AST and ALT levels over the duration of the study compared to saline controls (AST levels of 51 IU/L for control compared to 58 IU/L for ISIS 167880; ALT levels of 26 IU/L for control compared to 27 IU/L for ISIS 167880).

35 Lean mice treated with ISIS 167879 showed no change in AST levels and a decrease in ALT levels over the duration of the study compared to saline controls (AST levels of 51 IU/L

BIOL0004US

-87-

PATENT

for control compared to 51 IU/L for ISIS 167879; ALT levels of 26 IU/L for control compared to 21 IU/L for ISIS 167879).

These results suggest a minor liver toxicity effect from ISIS 167880 in mice fed a high fat diet but no liver toxicity from ISIS 167880 or 167879 in mice fed a normal rodent diet. (See Table 7 for summary of in vivo data).

### Example 23

Effects of antisense inhibition of apolipoprotein C-III (ISIS 167880) on serum glucose levels

Male C57BL/6 mice (n=8) receiving a high fat diet (60% kcal fat) were evaluated over the course of 6 weeks for the effects of ISIS 167880 on serum glucose levels. Control animals received saline treatment (50 mg/kg). Mice were dosed intraperitoneally every three days (twice a week), after fasting overnight, with 50 mg/kg ISIS 167880 (SEQ ID No: 117) or saline (50 mg/kg) for six weeks.

Male C57BL/6 mice fed a normal rodent diet were fasted overnight then dosed intraperitoneally every three days with saline (control), 50 mg/kg ISIS 167880 (SEQ ID No: 117) or 50 mg/kg ISIS 167879 (SEQ ID No: 116) for two weeks.

At study termination and forty eight hours after the final injections, animals were sacrificed and evaluated for serum glucose levels.

In the high fat fed mice, ISIS 167880 reduced serum glucose levels to 183 mg/dL, compared to the saline control of 213 mg/dL. In lean mice, ISIS 167880 had no significant effect on serum glucose levels with measurements of 203 mg/dL, compared to the saline control of 204 mg/dL; while ISIS 167879 only slightly increased serum glucose levels to 216 mg/dL.

These results indicate that, in mice fed a high fat diet, ISIS 167880 is able to reduce serum glucose to levels comparable to lean littermates, while having no deleterious effects on the lean animals. (See Table 7 for summary of in vivo data).

BIOL0004US

-88-

PATENT

**Example 24**

**Effects of antisense inhibition of apolipoprotein C-III (ISIS 167880) on apolipoprotein C-III mRNA levels in C57BL/6 mice**

Male C57BL/6 mice received a high fat diet (60% kcal fat) fasted overnight, and dosed intraperitoneally every three days with saline or 50 mg/kg ISIS 167880 (SEQ ID No: 117) for six weeks.

Male C57BL/6 mice fed a normal rodent diet were fasted overnight then dosed intraperitoneally every three days with saline (control) or 50 mg/kg ISIS 167880 (SEQ ID No: 117) or 50 mg/kg ISIS 167879 (SEQ ID No: 116) for two weeks.

At study termination, forty eight hours after the final injections, animals were sacrificed and evaluated for apolipoprotein C-III mRNA levels in liver. The high fat fed mice dosed with ISIS 167880 had apolipoprotein C-III mRNA levels 8% that of the saline treated mice. The lean mice showed decreased apolipoprotein C-III mRNA after treatment with either ISIS 167880 or ISIS 167879. The lean mice dosed with ISIS 167880 had apolipoprotein C-III mRNA levels 21% that of the saline treated mice and those dosed with ISIS 167879 had apolipoprotein C-III mRNA levels 27% that of the saline treated mice.

These results indicate that in both high fat fed mice and lean mice, antisense oligonucleotides directed against apolipoprotein C-III are able to decrease apolipoprotein C-III mRNA levels *in vivo* to a similar extent. (See Table 7 for summary of *in vivo* data).

**Table 7**

**Effects of ISIS 167880 or 167879 treatment on cholesterol, triglyceride, glucose, liver enzyme, and apolipoprotein C-III mRNA in liver, in lean and high fat fed C57BL/6 mice.**

Biological Marker Measured units	ISIS #	Diet, Experiment duration
-------------------------------------	--------	------------------------------

BIOL0004US

-89-

PATENT

			High Fat, 6 week	Lean, 2 week
	Cholesterol mg/dL	control	196	91
		167880	137	91
		167879	N.D.	116
	Triglycerides mg/dL	control	151	91
		167880	58	59
		167879	N.D.	65
	Glucose mg/dL	control	213	204
		167880	183	203
		167879	N.D.	216
Liver Enzymes	AST IU/L	control	92	51
		167880	157	58
		167879	N.D.	51
	ALT IU/L	control	40	26
		167880	64	27
		167879	N.D.	21
	Apolipoprotein C-III mRNA % of control	167880	8%	21%
		167879	N.D.	27%

BIOL0004US

-90-

PATENT

**What is claimed is:**

1. A compound 8 to 80 nucleobases in length targeted to a nucleic acid molecule encoding apolipoprotein C-III, wherein said compound specifically hybridizes with said nucleic acid molecule encoding apolipoprotein C-III (SEQ ID NO: 4) and inhibits the expression of apolipoprotein C-III.
2. The compound of claim 1 comprising 12 to 50 nucleobases in length.
3. The compound of claim 2 comprising 15 to 30 nucleobases in length.
4. The compound of claim 1 comprising an oligonucleotide.
5. The compound of claim 4 comprising an antisense oligonucleotide.
6. The compound of claim 4 comprising a DNA oligonucleotide.
7. The compound of claim 4 comprising an RNA oligonucleotide.
8. The compound of claim 4 comprising a chimeric oligonucleotide.
9. The compound of claim 4 wherein at least a portion of said compound hybridizes with RNA to form an oligonucleotide-RNA duplex.
10. The compound of claim 1 having at least 70% complementarity with a nucleic acid molecule encoding apolipoprotein C-III (SEQ ID NO: 4) said compound specifically hybridizing to and inhibiting the expression of apolipoprotein C-III.
11. The compound of claim 1 having at least 80%

BIOL0004US

-91-

PATENT

complementarity with a nucleic acid molecule encoding apolipoprotein C-III (SEQ ID NO: 4) said compound specifically hybridizing to and inhibiting the expression of apolipoprotein C-III.

12. The compound of claim 1 having at least 90% complementarity with a nucleic acid molecule encoding apolipoprotein C-III (SEQ ID NO: 4) said compound specifically hybridizing to and inhibiting the expression of apolipoprotein C-III.

13. The compound of claim 1 having at least 95% complementarity with a nucleic acid molecule encoding apolipoprotein C-III (SEQ ID NO: 4) said compound specifically hybridizing to and inhibiting the expression of apolipoprotein C-III.

14. The compound of claim 1 having at least one modified internucleoside linkage, sugar moiety, or nucleobase.

15. The compound of claim 1 having at least one 2'-O-methoxyethyl sugar moiety.

16. The compound of claim 1 having at least one phosphorothioate internucleoside linkage.

17. The compound of claim 1 having at least one 5-methylcytosine.

18. A method of inhibiting the expression of apolipoprotein C-III in cells or tissues comprising contacting said cells or tissues with the compound of claim 1 so that expression of apolipoprotein C-III is inhibited.

19. A method of screening for a modulator of apolipoprotein C-III, the method comprising the steps of:

a. contacting a preferred target segment of a nucleic acid molecule encoding apolipoprotein C-III with one or more candidate modulators of apolipoprotein C-III, and

BIOL0004US

-92-

PATENT

b. identifying one or more modulators of apolipoprotein C-III expression which modulate the expression of apolipoprotein C-III.

20. The method of claim 19 wherein the modulator of apolipoprotein C-III expression comprises an oligonucleotide, an antisense oligonucleotide, a DNA oligonucleotide, an RNA oligonucleotide, an RNA oligonucleotide having at least a portion of said RNA oligonucleotide capable of hybridizing with RNA to form an oligonucleotide-RNA duplex, or a chimeric oligonucleotide.

21. A diagnostic method for identifying a disease state comprising identifying the presence of apolipoprotein C-III in a sample using at least one of the primers comprising SEQ ID NOS 5 or 6, or the probe comprising SEQ ID NO 7.

22. A kit or assay device comprising the compound of claim 1.

23. A method of treating an animal having a disease or condition associated with apolipoprotein C-III comprising administering to said animal a therapeutically or prophylactically effective amount of the compound of claim 1 so that expression of apolipoprotein C-III is inhibited.

24. The method of claim 23 wherein the condition involves abnormal lipid metabolism.

25. The method of claim 23 wherein the condition involves abnormal cholesterol metabolism.

26. The method of claim 23 wherein the condition is atherosclerosis.

27. The method of claim 23 wherein the condition is an abnormal metabolic condition.

28. The method of claim 27 wherein the abnormal metabolic condition is hyperlipidemia.

29. The method of claim 23 wherein the disease is diabetes.

BIOL0004US

-93-

PATENT

30. The method of claim 29 wherein the diabetes is Type 2 diabetes.

31. The method of claim 23 wherein the condition is obesity.

32. The method of claim 23 wherein the disease is cardiovascular disease.

33. A method of modulating glucose levels in an animal comprising administering to said animal the compound of claim 1.

34. The method of claim 33 wherein the animal is a human.

35. The method of claim 33 wherein the glucose levels are plasma glucose levels.

36. The method of claim 33 wherein the glucose levels are serum glucose levels.

37. The method of claim 33 wherein the animal is a diabetic animal.

38. A method of preventing or delaying the onset of a disease or condition associated with apolipoprotein C-III in an animal comprising administering to said animal a therapeutically or prophylactically effective amount of the compound of claim 1.

39. The method of claim 38 wherein the animal is a human.

40. The method of claim 38 wherein the condition is an abnormal metabolic condition.

41. The method of claim 40 wherein the abnormal metabolic condition is hyperlipidemia.

42. The method of claim 38 wherein the disease is diabetes.

43. The method of claim 42 wherein the diabetes is Type 2 diabetes.

44. The method of claim 38 wherein the condition is obesity.



BIOL0004US

-94-

PATENT

45. A method of lowering cholesterol levels in an animal comprising administering to said animal the compound of claim 1.

46. The method of claim 45 wherein the animal is a human.

47. The method of claim 45 wherein the cholesterol levels are plasma cholesterol levels.

48. The method of claim 45 wherein the cholesterol levels are serum cholesterol levels.

49. A method of lowering triglyceride levels in an animal comprising administering to said animal the compound of claim 1.

50. The method of claim 49 wherein the animal is a human.

51. The method of claim 49 wherein the triglyceride levels are plasma triglyceride levels.

52. The method of claim 49 wherein the triglyceride levels are serum triglyceride levels.

BIOL0004US

-95-

PATENT

## ABSTRACT

5

Compounds, compositions and methods are provided for modulating the expression of apolipoprotein C-III. The compositions comprise oligonucleotides, targeted to nucleic acid encoding apolipoprotein C-III. Methods of using these compounds for modulation of apolipoprotein C-III expression and for diagnosis and treatment of disease associated with expression of apolipoprotein C-III are provided.

10

DOCKET NO.: BIOL0004US

"Express Mail" Label No.: EV280449207US  
Date of Deposit: 4-16-2003**COMBINED DECLARATION AND POWER OF ATTORNEY**

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name; and

I verily believe that I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled: **Modulation of apolipoprotein C-III Expression** the specification of which:

(XX) is attached hereto.

( ) was filed on \_\_\_\_\_ as Application Serial No. \_\_\_\_\_ and was amended on \_\_\_\_\_ (if applicable).

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose to the U.S. Patent and Trademark Office all information known to be material to the patentability of this application in accordance with 37 CFR § 1.56.

I hereby claim foreign priority benefits under 35 U.S.C. § 119(a-d) of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of any application on which priority is claimed:

Country	Number	Date Filed	Priority Claimed		
			Yes	No	
			Yes	No	
			Yes	No	

I hereby claim the benefit under 35 U.S.C. § 120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of 35 U.S.C. § 112, I acknowledge the duty to disclose to the U.S. Patent and Trademark Office all information known to be material to patentability as defined in 37 CFR § 1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application:

Application Serial No.	Filing Date	Status (pending, patented)

I hereby claim the benefit under 35 U.S.C. § 119(e) of any United States provisional application(s) listed below:

Provisional Application No.	Filing Date

DOCKET NO.: BIOL0004US

I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith: Herb Boswell, Registration No. 27,311; Laurel Spear Bernstein, Registration No. 37,280; Neil S. Bartfeld, Registration No. 39,901; Matthew Grumbling, Registration No. 44,427; and Donna T. Ward, Registration No. 48,271 of Isis Pharmaceuticals, Inc.; and Stanley B. Kita, Registration No. 24,561; George A. Smith, Jr., Registration No. 24,442; Mary E. Bak, Registration No. 31,215; Cathy A. Kodroff, Registration No. 33,980; William Bak, Registration No. 37,277; Henry Hansen, Registration No. 19,612; and Tracy U. Palovich, Registration No. 47,840 of the firm Howson and Howson, Spring House Corporate Center, P.O. Box 457, Spring House, Pennsylvania 19477.

Address all telephone calls and correspondence to:

Mary E. Bak  
Howson and Howson  
Spring House Corporate Center  
P.O. Box 457  
Spring House, Pennsylvania 19477  
Telephone: (215) 540-9200  
Facsimile: (215) 540-5818

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

1	Full Name: Rosanne M. Crooke	Inventor's Signature: <i>Rosanne M Crooke</i>	Date: 4/2/03
	Residence: 3211 Piragua Street Carlsbad California 92009	Citizenship: USA	
	Post Office Address:same as above		
2	Full Name: Mark J. Graham	Inventor's Signature: <i>Mark J Graham</i>	Date: 4/2/03
	Residence: 2305 Ola Vista San Clem California 92672	Citizenship: USA	
	Post Office Address:same as above		
3	Full Name: Kristina M. Lemonidis	Inventor's Signature: <i>K Lemonidis</i>	Date: 4/11/03
	Residence: 31774 Loma Linda Road Temecula California 92592	Citizenship: USA	
	Post Office Address: same as above		

DOCKET NO.: BIOL0004US

4	Full Name: Kenneth W. Dobie	Inventor's Signature: <i>Kenneth Dobie</i>	Date: 4/9/03
	Residence: 703 Stratford Ct., #4 Del Mar California 92014	Citizenship: UK	
	Post Office Address: same as above		
5	Full Name:	Inventor's Signature:	Date:
	Residence:	Citizenship:	
	Post Office Address: same as above		